

# National clinical guidelines for stroke

Second edition

Prepared by the Intercollegiate Stroke Working Party

June 2004

Clinical Effectiveness  
& Evaluation Unit



ROYAL COLLEGE OF PHYSICIANS

## Acknowledgements

The funding for these guidelines was donated to the stroke programme at the Royal College of Physicians by a consortium of sponsors including the Stroke Association, Boehringer Ingelheim, Merck Sharp & Dohme and Sanofi-Synthelabo & Bristol-Myers Squibb. Apart from the Stroke Association, none of the sponsors were members of the working party that developed the guidelines.

We would like to thank the many people who have reviewed the guidelines during their development (see Appendix 1).

Special thanks go to Penny Irwin who has co-ordinated the project with unfailing skill and enthusiasm.

### *Front cover*

Design by Merriton Sharp, London

Photograph by Gina Glover: *Corridor at Central Middlesex Hospital.*

Website: [www.ginaglover.com](http://www.ginaglover.com)

Royal College of Physicians of London  
11 St Andrews Place, London NW1 4LE

Registered Charity No 210508

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ISBN 1 86016 208 8

Text design by the Publications Unit of the Royal College of Physicians

Typeset by Dan-Set Graphics, Telford, Shropshire

Printed in Great Britain by The Lavenham Press Ltd, Sudbury, Suffolk

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### Conflicts of interest

All working party members signed a form to declare any potential conflicts of interest with the guidelines or other parts of the stroke programme they oversee such as the National Sentinel Audit of Stroke.

Nearly all professionals worked for an organisation whose work is related in some way to the guidelines. Details of appointment and affiliations are therefore listed above. Financial interest information can be obtained on request from the Royal College of Physicians; a summary follows.

- 1 Sixteen members of the working party declared interests with potential to affect the guidelines. These included:
  - a **commercial companies:** ten members had undertaken consultancy, lecturing and research work for companies including Aventis, AstraZeneca, Boehringer Ingelheim, GlaxoSmithKline, Ipsen, Merck Sharp & Dohme, Novartis, Sanofi-Synthelabo & Bristol-Myers Squibb, Servier, Takeda;
  - b **charities:** six members held posts within patients' charities including the Stroke Association, Connect, Speakability, Different Strokes, the College of Health, the MS Society, Dialability, and the Neurological Alliance.
- 2 Four members had conducted research for and/or held posts connected to government agencies, including the NHS National Research and Development Programme, the health technology assessment programme, the Medical Research Council and the National Institute for Clinical Excellence.
- 3 One member of the neurosurgical sub-group declared a stockholding in the Micrus Corporation (a coil manufacturing company). Otherwise no members had any personal commercial interest (eg shares) with companies that could benefit from the guidelines.

On the rare occasions a potential conflict of interest arose for individuals they were excluded from the final decision on a recommendation, unless supported by others (eg a patients' organisation would often find support from several quarters). The published evidence base and majority opinion were the deciding factors for the wording and content of recommendations.

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# Foreword

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Having a stroke is one of the more alarming and devastating things that can happen to a person, and will happen to a quarter of us over the age of 45. Only 20 years ago there was a rather nihilistic attitude to management. The situation today could not be more different.

Not only is there much that can be done, but there is evidence that active care leads to less deaths, and less disability for those who do survive. Best care begins with proper assessment and continues, usually within a specific stroke unit, with active management. A key change over the last decade is that care has become a team effort. A stroke patient is likely to be treated during the course of their illness by many different people, ranging from the doctors and nurses in the emergency department, a wide array of professionals on the stroke unit, to therapists, doctors, nurses and social services staff in the community. One of the aims of these guidelines is to equip health and social care professionals – wherever they work – with the knowledge of what best care should be.

Keeping abreast of emerging evidence in a field such as stroke is a difficult task for busy practitioners. Since the first edition of these guidelines appeared four years ago, many hundreds of new references have been published, several requiring major changes in the way stroke care should be delivered. High quality treatment may save a patient's life or result in reduced disability. Guidelines can never provide the answers for every situation and do not replace sound clinical judgement and common sense. These guidelines do, however, provide a framework for such care and are intended to be just as practical and relevant for non-specialists as for specialists.

There have been a number of developments in stroke medicine over the past four years since the first edition was published. Stroke medicine is now a recognised specialty within medicine and all hospitals have been advised by the Department of Health that they should have a dedicated stroke unit. The roles of the nurse, physiotherapist, occupational therapist and speech therapist are also developing and companion publications drawing from this guideline provide each profession with specific guidance.

The results from the sentinel audit of stroke care demonstrate that while care has improved significantly over the last six years, there is no room for complacency. There is more that can be done. Professional groups involved in stroke care in hospitals and the community (separately and together) should use this guideline to reconsider their role within the care team and how they can work together to improve outcomes for patients and their families.

The final word should rest with the patient. A patient and carer information booklet has again been produced and should be made widely available. If patients and their carers are involved in decision-making about their care, they can more actively participate in the rehabilitation and prevention measures.

Everything in these guidelines can be – and has been – achieved. Better stroke care will improve the lives of many people in our country.

**Professor Ian Philp**

*National Director for Older People's Services (with responsibility for implementing the  
National Service Framework for care of older people in England)*

# How to use these guidelines

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These guidelines cover the management of stroke, transient ischaemic attack (TIA) and subarachnoid haemorrhage. (The management of stroke in childhood is covered in a separate publication produced jointly by the Royal College of Physicians and the Royal College of Paediatrics and Child Health). In order fully to understand this document all users should read sections 1 and 2. Section 1, the introduction, explains the context of the guidelines, and the methods of their development. Section 2, 'Service organisation', gives the recommendations for the overall organisation of a stroke service.

As in the first edition the guidelines are laid out in similar format for each topic. Each section contains a summary of the context, recommendations and key references, with a statement on the quality of the evidence where this may need further explanation. Suggestions for areas that would benefit from local guidelines are also given.

Further evidence in relation to each section is given in the tables of evidence in the supplement. Users wishing to find guidelines on a particular topic can look on the contents page or index, but are reminded that guidelines in other sections may also be relevant.

## Changes in recommendations since the first edition

For those familiar with the first edition some changes will be evident. Recommendations for clinical care have been grouped differently into the areas of 'acute management' (section 3), 'rehabilitation' (section 4) and 'transfer to community' (section 5). Recommendations have been changed in accordance with the evolving evidence base in several key areas:

- ▶ **organisation of care:** definitions of specialist service, information for patients and palliative care
- ▶ **acute management:** diagnosis and management of TIA, thrombolysis, monitoring of physiological parameters and management of specific conditions including primary intracerebral haemorrhage, cerebral venous thrombosis, cervical artery dissection and diagnosis and referral of subarachnoid haemorrhage
- ▶ **secondary prevention** is now included in the acute section and new recommendations are included in particular for blood pressure lowering, antithrombotics, the use of statins, the management of women on HRT, carotid stenosis and concordance with secondary prevention
- ▶ the importance of assessment and interventions in the area of **swallowing and nutrition** have been enhanced

- ▶ recommendations concerning the **prevention of venous thrombo-embolism** have been changed to more accurately reflect the evidence in stroke
- ▶ **rehabilitation:** psychological impairments (cognitive impairments, where there are new recommendations for spatial awareness, memory, attention, praxis and executive functions, and mood); communication; improving motor control (where there has been some reorganisation, and additional areas covering constraint-induced movement therapy and robot-assisted therapy have been added); sensory impairment and pain; and functional rehabilitation interventions
- ▶ **transfer to community:** includes discharge planning and longer-term management (eg further rehabilitation, prevention of further stroke (referring to the secondary prevention section in section 3), social function)
- ▶ **audit criteria:** the ‘top ten’ key indicators from the National Sentinel Audit of Stroke are listed in Appendix 2.

# The patient and carer perspective

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## Introduction

For the development of the first edition of these guidelines the opinions and experiences of stroke patients and their carers were obtained through focus groups conducted by the College of Health. The findings, which were an integral part of the guidelines' development process, were reported in Kelson *et al* 1998. The NICE Patient Involvement Unit recently advised that the findings were still relevant. We have therefore chosen to place the recommendations of the report at the beginning of this, the second edition, to emphasise to readers the subjective experiences of healthcare after stroke, and the need for these guidelines.

## Recommendations from the report on patient and carer focus groups conducted by the College of Health in 1998 (adapted from Kelson *et al* 1998)

### **Guidelines and service development**

The views of both patients and carers can and should be obtained to complement other forms of evidence in developing clinical guidelines. Priorities of patients and carers may differ from those of professionals. This has application for other chronic conditions beyond the field of stroke and for other initiatives, for example National Service Frameworks.

### **Access to quality services**

Patients and their carers want timely access to quality services appropriate to their needs. The focus groups revealed various problems in accessing diagnostic, treatment, rehabilitation and support services. After access, participants also highlighted deficiencies in the quality of some services. Guideline developers should consider using patient quotes as one method for drawing attention to patient concerns about access to and quality of services [and in the first edition quotes from patients and carers and taken from the focus groups were used throughout, and for this edition have been supplemented by a study investigating quality of life among patients after stroke on behalf of the Economic & Social Research Council (McKevitt & Wolfe 2004)]

### **Knowledgeable staff**

Patients and carers want to be looked after by knowledgeable staff who understand the full range of their needs after a stroke. These include communication, personal care, treatment, therapy, support and information needs. Staff knowledge of these could be developed

by training for all clinical and support staff involved in caring for stroke patients, regardless of where they are providing that care (eg on a dedicated stroke unit, a general medical ward or in the patient's home) [see section 2].

### **Shared decision-making**

The diagnosis and management plan is not always explained in a manner that patients and carers can comprehend and recall. Patients want to be treated as responsible adults, and carers want to know precisely what is being done or planned for their relative.

This could be helped by:

- ▶ a written plan of care for the time in hospital and post-discharge
- ▶ a written information pack including advice on local statutory and voluntary services available in the community
- ▶ a clear indication as to what services are to be offered post-discharge, and advance warning of when services are to end
- ▶ arrangements for post-stroke monitoring of patients, possibly by therapists or a specialist nurse
- ▶ arrangements for assessing the practical and emotional needs of the carers and families of stroke patients, recognising that these may vary over time [see section 2].

### **Information when at home**

There are many uncertainties for patients and carers after a stroke. These are often further exacerbated by inadequate direction to appropriate information sources or by poor quality of the information that is accessed. Patients and carers need to be offered relevant user-friendly information at each stage of their care and to have access to contacts for further questions and problems that arise later.

This could be helped by:

- ▶ a named contact (with telephone number) for further advice after discharge; the named person must have the authority to investigate and rectify problems brought to them
- ▶ information packs for carers, specifically addressing carer information and support needs
- ▶ patients not requiring admission to hospital should also have access to this information [see sections 2–5]

### **Patient and carer versions of guidelines**

Patients and carers should know what care they may expect. This could be helped by providing a patient/carer-friendly version of the guidelines and making this available on all healthcare premises [patient and carer versions of this second edition of the guidelines are available from the Royal College of Physicians, London].

### **Links with patient/carer organisations**

At local level, links with patient and carer organisations with a specific interest in stroke care, eg the Stroke Association, Different Strokes, Speakability, should be developed and strengthened. Hospital and primary care trusts may be best placed to develop and strengthen these links. Additional resources may be needed to ensure continued input from patient and carer organisations. Future studies need to investigate the specific needs of younger stroke patients.

# Acronyms and abbreviations used in the guidelines and tables of evidence

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AAP	Adelaide Activities Profile	CV	Cardiovascular
ADL	Activities of Daily Living	CVA	Cerebrovascular accident
AF	Atrial fibrillation	CVD	Cardiovascular disease
AFO	Ankle-foot orthosis	DIND	Delayed ischaemic neurological deficit
AMAT	Arm Motor Ability Test	DSA	Digital subtraction angiography
Anti Xa	Anti factor Xa	DVT	Deep vein thrombosis
AoU	Amount of Use Test	EADL	Extended Activities of Daily Living
ARA	Action Research Arm Test	ECST	European Carotid Surgery Trialists
ASA	Acetyl salicylic acid (aspirin)	EMG	Electromyography (recording of electrical potential from contracting muscle)
ASU	Acute stroke unit	FAI	Frenchay Activities Index
BD	Bi diem (twice daily)	FCP	Functional Communication Profile
BFB	Biofeedback	FEES	Fibre-optic endoscopic examination of swallowing
BI	Barthel Index	FEESST	Flexible endoscopic evaluation of swallowing with sensory testing
BMI	Body mass index	FES	Functional electrical stimulation
BP	Blood pressure	FIM	Functional Independence Measure (an assessment of disability)
BPM	Balance performance monitor	FIM-FRG	Functional Independence Measure – function related group
BPS	British Psychological Society	GAD	Generalized anxiety disorder
BSE	Bedside examination	GAS	Goal attainment scaling
CAPRIE	Clopidogrel vs Aspirin in Patients at Risk of Ischaemic Events Trial	GHQ	General Health Questionnaire
CAST	Chinese Acute Stroke Trial (collaborative group)	GHQ-30	General Health Questionnaire (30-item)
CCT	Controlled clinical trial	GMW	General medical ward
CEA	Carotid endarterectomy	GOS	Glasgow Outcome Scale
CEEU	Clinical Effectiveness and Evaluation Unit	GP	General practitioner (family doctor)
CHD	Coronary heart disease	HAD	Hospital Anxiety and Depression Scale
CI	Confidence intervals	HDL	High density lipoprotein
CIT	Constraint induced movement therapy	HPS	Heart Protection Study
CLASS	Clomethiazole acute stroke study	HRQL	Health-related quality of life
COAD	Chronic obstructive airways disease	HRT	Hormone replacement therapy
CSU	Catheter specimen of urine		
CT scan	Computerised tomography scan		



Hz	Cycles per second	OPCS	Office of Population Censuses and Surveys
ICD	International Classification of Diseases	OT	Occupational therapy
ICD-9-CM	International Classification of Disease (version 9, Clinically Modified)	PACI	Partial anterior circulation infarct
IHD	Ischaemic heart disease	PAFO	Placebo ankle-foot orthosis
INR	International normalised ratio	PATS	Post-stroke Antihypertensive Treatment Study
IQR	Interquartile range	PBWSTT	Partial body weight support treadmill training
ISAT	International Subarachnoid Aneurysm Trial Collaborative Group	PE	Pulmonary embolus
IST	International Stroke Trial Collaborative Group	PNF	Proprioceptive neuromuscular facilitation
IV	Intravenous	POCI	Posterior circulation infarct
LACI	Lacunar infarct	PT	Physiotherapy
LDL	Low density lipoprotein	QoL	Quality of life
LMWH	Low molecular weight heparin	QoM	Quality of movement
LOS	Length of stay	RA	Research assistant
M/A	Meta-analysis	RCP	Royal College of Physicians
MAL	Motor activity log	RCT	Randomised controlled trial
MBS	Modified barium swallow	RMA	Rivermead Motor Assessment
MCA	Middle cerebral artery	RNL	Reintegration to normal living
MI	Myocardial infarction	RoM	Range of movement
MRA	Magnetic resonance angiography	RPAB	Rivermead Perceptual Assessment Battery
MRI	Magnetic resonance imaging	rt-PA	Tissue plasminogen activator
MRS	Modified Rankin Scale	S/R	Systematic review
MRV	Magnetic resonance venography	SAH	Subarachnoid haemorrhage
NASCET	North American Symptomatic Carotid Endarterectomy Trial	SALT	Speech and language therapy
NHP	Nottingham Health Profile	SCQ	Sense of competence questionnaire
NICE	National Institute for Clinical Excellence	SD	Standard deviation
NIHSS	National Institutes of Health Stroke Scale	SF-12	Shortform 12
NINDS	National Institute of Neurological Disorders and Stroke	SF-36	Shortform 36
NNT	Number needed to treat	SICH	Subsequent intracranial haemorrhage
Non-S/R	Non-systematic review	SIP	Sickness Impact Profile (also known as Functional Limitation Profile)
Obs	Observational study	SITS-MOST	Safe Implementation of Thrombolysis in Stroke Monitoring Study
OARS-IADL	Older Americans Resource Sale (for instrumental activities of daily living)	SLT	Speech and language therapy
OD	Once daily	SMD	Standard mean deviation
		SPAF	Stroke prevention in atrial fibrillation
		SSS	Scandinavian Stroke Scale

STICH	Surgical Trial in Intracerebral Haemorrhage
TACI	Total anterior circulation infarct
TACS	Total anterior circulation syndrome
TAIST	Tinzaparin in Acute Ischaemic Stroke Trial
TBI	Traumatic brain injury
TENS	Transcutaneous electrical nerve stimulation
Tg	Triglyceride levels
TIA	Transient ischaemic attack
UFH	Unfractionated heparin
VAS	Visual analogue scale
VFSS	Videofluoroscopic study of swallowing
WEST	Women's Estrogen for Stroke Trial
WMFT	Wolf Motor Function Test

# Introduction

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# I Introduction

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## I.1 Scope

As with the first edition, these guidelines cover the management of stroke in adults (over 16 years) from onset through to the longer term. For this second edition, however, the scope has been widened to include the management of transient ischaemic attack (TIA) and subarachnoid haemorrhage, and there is a separate set of guidelines for the management of stroke in childhood. Excluded from these guidelines are the detailed surgical management of disorders referred to surgeons, and primary prevention.

## I.2 Stroke, transient ischaemic attack and subarachnoid haemorrhage

The word *stroke* is used to refer to a clinical syndrome, of presumed vascular origin, typified by rapidly developing signs of focal or global disturbance of cerebral functions lasting more than 24 hours or leading to death (World Health Organisation 1978).

It affects between 174 and 216 people per 100,000 population in the UK each year (Mant *et al* 2004), and accounts for 11% of all deaths in England and Wales. Cerebral infarction accounts for 69% of strokes, primary haemorrhage for 13%, subarachnoid haemorrhage for 6%, and 12% are of uncertain type (Wolfe *et al* 2002). The risk of recurrent stroke within five years of a first stroke is between 30% and 43% (Mant *et al* 2004).

*Transient ischaemic attack* (TIA) is a clinical syndrome characterised by an acute loss of focal cerebral or ocular function with symptoms lasting less than 24 hours. It is thought to be due to inadequate cerebral or ocular blood supply as a result of low blood flow, thrombosis, or embolism associated with diseases of the blood vessels, heart, or blood (Hankey & Warlow 1994).

It affects 35 people per 100,000 of the population each year and is associated with a very high risk of stroke in the first month of the event and up to one year (Coull *et al* 2004).

*Subarachnoid haemorrhage* (SAH) is a haemorrhage from a cerebral blood vessel, aneurysm or vascular malformation into the subarachnoid space, ie the space surrounding the brain where blood vessels lie between the arachnoid and pial layers. It is characterised by sudden onset of headache, and vomiting, with or without loss of consciousness.

SAH affects 6 to 12 people in each 100,000 of the population per year and constitutes about 6% of incident first strokes. Approximately 85% of patients bleed from an intracranial aneurysm, 10% from a non-aneurysmal peri-mesencephalic haemorrhage and 5% from other vascular abnormalities including arteriovenous malformation (VanGijn *et al* 2001).

### I.3 Aims of the guidelines

The aims of the guidelines are:

- 1 To provide explicit recommendations for practising clinicians, managers, patients and carers about the management of stroke and TIA, covering the whole care pathway from the acute event to the longer-term management in the community.
- 2 To provide recommendations based on best available evidence.
- 3 To give consensus statements from the working party for important areas of clinical practice where evidence is lacking.

As in the first edition, the principles underlying the guidelines are that they should:

- ▶ address issues in stroke management that are important
- ▶ draw upon published evidence wherever possible
- ▶ indicate areas of uncertainty or controversy.

Wherever possible we have avoided making profession-specific recommendations, trying instead to address problems from the patient's perspective, suggesting what interventions are needed but not who should make them.

### I.4 Context and use

The guidelines should be taken as statements to inform the clinician, not as rigid rules. Guidelines cannot cover every eventuality; new evidence is published every day. Feedback is most welcome because these guidelines will be updated on a regular basis to keep up with new evidence.

These guidelines relate particularly to the management of stroke; they do not specifically cover areas of routine good clinical practice such as courtesy, managing associated illness and making notes. It is assumed that they will be used within the context of the services available in the UK, and that clinicians and others will be operating within professionally recognised standards of practice.

The reader is reminded that practice needs to be set within the context of the current legal framework governing the provision of services; for example that applicable to community care or to social services case management. These guidelines are not intended to supplant such regulations, but should be read in conjunction with them.

### I.5 Methodology of guidelines development

#### *I.5.1 Intercollegiate Stroke Working Party*

The guidelines were developed by the Intercollegiate Stroke Working Party, co-ordinated by the Clinical Effectiveness and Evaluation Unit (CEEU) of the Royal College of Physicians in London. The members of the working party, listed in the front of this book, were

nominated by professional organisations and societies to give wide representation from all disciplines, including the views of patients and their families. Members were required to liaise with their own professional bodies and with other experts in the field as they felt appropriate throughout the process. Most members had great expertise and a longstanding personal interest in the field of stroke management.

### *1.5.2 Searching the scientific literature*

An information scientist conducted formal searches of the literature for the areas covered by the first edition (Intercollegiate Working Party for Stroke 2000) for the period from 1999, the first edition having included the literature prior to that. For areas new to the second edition – ie subarachnoid haemorrhage, transient ischaemic attack, concordance with medication, therapy for cognitive disorders and paediatric stroke – the searches included the period from 1966 onwards. Available computerised databases including Medline, AMED, CINAHL, Psychinfo and Embase were systematically searched. The Cochrane Collaboration Database was used extensively, and other national guidelines were reviewed, including those of the Scottish Intercollegiate Guidelines Network (SIGN), and the National Institute for Clinical Excellence (NICE). Health technology appraisal reports were used; and members of the working party brought expertise and information from their own organisations and professional bodies.

The search strategies are available on the Royal College of Physicians website at [www.rcplondon.ac.uk](http://www.rcplondon.ac.uk)

### *1.5.3 Assessing the quality of research and writing the guidelines*

The Scottish Intercollegiate Guidelines Network (SIGN) SIGN 50 guidelines appraisal checklists were used by the members of the working party to assess the quality of published articles. Ten per cent of the articles were randomly double-marked to check for consistency. Results of the assessments were presented to the working party for discussion and approval.

Articles were selected for inclusion on the following principles. Where evidence specifically relating to stroke was available, this alone was used. In areas with limited research available specific to stroke some studies include patients with other, usually neurological, diseases.

Where evidence from meta-analyses or randomised controlled trials (RCTs) was available, this was used. Where there was limited or no evidence from RCTs, then evidence from observational group studies or small-group studies was used. In general, evidence from single-case studies was not used, primarily because it is usually difficult to draw general conclusions from them. Studies considered but not included will be listed on the Royal College of Physicians website.

To indicate the quality of the evidence each guideline recommendation is accompanied by a letter (A, B, C or D) to indicate the strength of evidence supporting it. The level of evidence is demonstrated by a number (I to IV) indicating its provenance. Table 1.1 (overleaf) shows the meaning of each. These levels and grades have been used because they were the way preferred by the National Institute for Clinical Excellence (NICE) at the time these guidelines were written. The 'Evidence' paragraphs following guidelines give an indication of the nature

**Table 1.1** Guideline strength: level of evidence and grade of recommendation

<b>Level of evidence</b>	<b>Type of evidence</b>	<b>Grade of recommendation</b>
<b>Ia</b>	Meta-analysis of randomised controlled trials (RCTs)	<b>A</b>
<b>Ib</b>	At least one RCT	<b>A</b>
<b>IIa</b>	At least one well designed, controlled study but without randomisation	<b>B</b>
<b>IIb</b>	At least one well designed, quasi-experimental study	<b>B</b>
<b>III</b>	At least one well designed, non-experimental descriptive study (eg comparative studies, correlation studies, case studies)	<b>B</b>
<b>IV</b>	Expert committee reports, opinions and/or experience of respected authorities. This grading indicates that directly applicable clinical studies of good quality are absent.	<b>C</b>
<b>Consensus of working party</b>	Recommended good practice based on the clinical experience of the Guideline Development Group	<b>D</b>

and extent of the supporting evidence, together with key references. Lastly, for each topic, there is a table or group of tables giving further details of the main studies (see supplement).

If a recommendation is based on extrapolation from research in conditions other than stroke the grade of recommendation has been reduced by one level.

#### 1.5.4 Evidence and lack of evidence

Many areas of important clinical practice did not have evidence available to construct guidelines. We have therefore made consensus statements (graded D) from this and other working parties, and given advice on local guideline development.

It is important to note that the evidence relating to specific individual interventions, usually drugs, is generally stronger. This is because, methodologically, it is easier to study them over longer periods of time than it is to investigate multifaceted interventions. This does not necessarily mean that interventions with so-called strong evidence are more important than those where the evidence is weak. To try to redress the imbalance that exists in the evidence base for stroke, guidelines that are considered by the working party to be an essential component of stroke care have been identified with a star regardless of the grading of the recommendation. A high-quality comprehensive stroke service will need to implement many guidelines that have only been graded level D.

#### 1.5.5 Peer review

Following review of the literature and initial agreement of the guidelines by the working party there was a period of peer review during which experts in all disciplines, including patients' organisations, were asked to review the guidelines. Changes were made to the guidelines accordingly. Thanks are due to the reviewers (listed in Appendix 1) who took so much time and trouble to give the benefit of their knowledge and experience.

## I.6 Format

For each topic we have included:

- ▶ a short introduction, setting the scene
- ▶ the guidelines, accompanied by grade of recommendation
- ▶ selected key references to the evidence supporting each guideline, with level of evidence (reference is made to any tables giving the full range of evidence – the tables of evidence contain details of the salient research studies and can be found in the supplement)
- ▶ areas where local guidelines may be considered.

Relevant quotations of patients and carers from the focus groups conducted to inform the guidelines (Kelson *et al* 1998; McKeivitt & Wolfe 2004) are given throughout. Whilst these are not standard evidence they provide important insights to patient and carer concerns.

## I.7 Terminology and theoretical framework

Stroke management is complex, so these guidelines necessarily cover many aspects of care. It is unlikely that any individual person or profession will need to know or use them all, but everyone must have some idea about their role in the team as a whole.

Table 1.2 (overleaf) gives the terminology and framework used throughout the remainder of the guidelines. Section 2, on service provision, covers aspects of the overall organisation of stroke care and the general approach to stroke management, reflecting its importance and the strength of evidence available. The section starts with a general statement on organisation in stroke services, and then considers some specific aspects of organisation, moving towards the specific and culminating in general advice concerning the involvement of families and carers. Thereafter sections 3 to 5, on clinical care, are based on the themes of:

- ▶ **level of illness**, where the International Classification of Functioning, Disability and Health (the revised version of the World Health Organisation's International Classification of Impairments, Disabilities and Handicaps) is the model used
- ▶ **time post-onset**, where three main times are identified: early (approximately the first week); middle (up to 6 months); late (thereafter)
- ▶ **management process**, where three main processes are identified: assessment (including medical diagnosis); care (maintaining life, safety and comfort, otherwise known as support); and treatment (any intervention presumed to effect a change).

One of the major factors impeding good stroke care is the lack of any widely accepted, easily understood framework and an attendant vocabulary and terminology. This lack may adversely affect communication between members of a team, teams, professions, organisations, and commissioners and providers of health care.



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### **Recommendations**

- a** All clinicians should consider and discuss explicitly with their colleagues the terminology and framework they use, and each team should use a consistent framework (C)
  - b** It is strongly recommended that the World Health Organisation's (WHO) International Classification of Functioning, Disability and Health (ICF) terminology be used (C)
- 

### **Evidence**

There are two strong arguments supporting these guidelines. First, efficient and effective stroke management is best undertaken by a specialised team (Stroke Unit Trialists' Collaboration 2004). A team is a group of individuals who share common values and work towards common goals. This is only possible if members of the team use an agreed vocabulary. Second, it is common experience that differences in interpretation of commonly used words, and differences of opinion about the meaning of words, underlie many of the problems experienced in managing patients. Consequently, there is strong logic supporting the need for an agreed terminology. A proposed terminology and framework is given in Table 1.2.

- a** The WHO ICF introduces the concept of 'contextual factors', which impact upon the manifestation of all diseases. Contextual factors are: social, physical and personal. The model emphasises that there are complex, dynamic relationships between all levels of illnesses themselves, and between the person and the contextual factors (IV)
- b** WHO 2001 (IV)

### **Local guidelines**

Local services will need to:

- ▶ specify the terminology used locally, or agree to use the suggested terminology and framework.

## **1.8 Participation in clinical research**

There are many areas in stroke medicine where the evidence base is weak. Even where recommendations have been graded A there may well be justification for further research. When the research has received ethical approval and been subjected to peer review, it is acceptable to enter patients into clinical trials which may lead to contravention of the recommendations in this document. Stroke teams should be encouraged to participate in well-conducted multi-centre trials. Involvement in research not only advances scientific knowledge but also helps improve the quality of care, levels of staff satisfaction and retention. It is the responsibility of health services to support high quality research.

**Table 1.2** Stroke management: ICF framework and terminology

<b>Illness of person</b>	<b>Synonym</b>	<b>Level of description</b>
Pathology	Disease/diagnosis	Organ/organ system
Impairment	Symptoms/signs	Body
Activity (was <i>disability</i> )	Function/observed behaviour	Interaction of person and environment
Participation (was <i>handicap</i> )	Social positions/roles	Person in their social context
<b>Contextual factors</b>	<b>Examples</b>	<b>Comment</b>
Personal experiences	Previous illness	May affect response to this stroke
Physical environment	House, local shops	May affect need for equipment etc
Social environment	Laws, friends	May affect motivation, support etc
<b>Rehabilitation:</b>		
<b>Aims</b>	<b>Synonym</b>	<b>Comment</b>
Maximise patient's social rehabilitation	Minimise handicap/maximise participation	Takes matters well outside health; personalises position and roles; rehabilitation process
Maximise patient's sense of well-being (quality of life)	Minimise somatic and emotional pain, maximise satisfaction with life	Helping people come to terms with the effects of their stroke
Minimise stress on and distress of the family	Provide emotional and practical help	Takes matters well outside health; also takes much effort and time unrelated to 'objective' losses
<b>Processes</b>	<b>Explanation</b>	<b>Comment</b>
Assessment	Collection and interpretation of data	Only as much as is needed to take action, setting goals and intervening
Setting goals	Considering both long-term aims and short-term methods	Should be multiprofessional goals as well as uniprofessional goals
<b>Intervention</b>		
Giving/organising care	Intervention needed to maintain life and safety	Major resource use, proportional to dependence/disability
Giving/organising treatment	Intervention presumed to affect process of change	Usually referred to as 'therapy'; not necessarily face-to-face interaction
Re-evaluation	Checking effects of intervention	Re-iterative until no further goals remain
<b>Other terminology:</b>		
<b>Term/word</b>	<b>Definition</b>	<b>Comment</b>
Outcomes	Result of intervention (or disease course over time)	Depends upon level being monitored, but for service should be at level of activity
Measurement	Comparison of data against a standard or 'metric'	Quantifies data (NB Data still need interpretation)
Audit	Comparison of observed performance against agreed standards followed by change	With the aim to improve service quality on a continuing basis. Interpretation should take into account case-mix and context
Goals (in rehabilitation)	Any defined change in state over time and/or future state	Generic term, no implications as to level, time frame etc
Long-term goals		Usually refers to (social) situation after discharge
Medium-term goals		Usually multiprofessional, in weeks/months, at level of disability/activity
Short-term, specific goals		Usually named person and set time/place

## 1.9 Cost of stroke care

Although implementation of these guidelines may have cost implications, this document does not undertake a full cost benefit analysis. Health technology appraisals or studies evaluating the clinical and cost effectiveness of topics in the guidelines have been included in the review. Where we recognise that guidelines have significant resource implications, we have suggested that this needs to be considered locally.

## 1.10 Licensing of drugs

Recommendations about the use of specific drugs do not take into account whether the drug is licensed by the Medicines Control Agency for that particular use. It is up to the individual physician and their local trust to decide whether to permit the unlicensed use of the drugs in their formulary. There are many situations where it is entirely appropriate to use medication which has not been licensed for specific situations, for example aspirin in acute ischaemic stroke. There are others where the rules of the license are so strong that if broken it may result in the use of the therapy being legally withdrawn – eg thrombolysis with TPA – or funding withdrawn if NICE guidance restricts its use.

## 1.11 Updating the guidelines

It is recognised that research evidence changes continuously. The Intercollegiate Working Party for Stroke, co-ordinated by the CEEU at the Royal College of Physicians, London, will be reviewing the evidence on an ongoing basis. Any major changes to the guidelines, required as a result of changing evidence, will be made to the version on the Royal College of Physicians website. It is anticipated that a third edition of the guidelines will be published in 2008.

## 1.12 Funding and conflicts of interest

The guidelines were developed as part of the stroke programme at the Clinical Effectiveness and Evaluation Unit. Funding for the programme was provided by a consortium from industry and the Stroke Association. Details of this are available on the Royal College of Physicians website at [www.rcplondon.ac.uk/college/ceeu/index.htm](http://www.rcplondon.ac.uk/college/ceeu/index.htm). Competing interests of the working party members were fully declared and are listed after their names at the start of this book.

# Service provision

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## 2 Service organisation

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The efficient and effective management of patients depends upon a well organised, expert service that can respond to the particular needs of each individual patient. Consequently, the organisation of stroke services must be considered at every level: at that of individual patients wherever they may be, in primary and secondary care, and at strategic health authority or national level.

This section addresses aspects of the organisation of stroke services both in hospital and in the community.

### 2.1 Specialist stroke services

#### 2.1.1 Service provision

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##### *Recommendations*

- a Stroke services should be organised so that patients are admitted under the care of a specialist team for their acute care and rehabilitation (A\*)
- b Stroke services should satisfy the following criteria by having:
  - i a geographically identified unit as part of the inpatient service (A\*)
  - ii a co-ordinated multidisciplinary team that meets at least once a week for the interchange of information about individual patients (B\*)
  - iii staff with specialist expertise in stroke and rehabilitation (B\*)
  - iv educational programmes for staff, patients and carers (B\*)
  - v agreed protocols for common problems (B\*)
  - vi access to brain and vascular imaging services (B\*)
- c Each service should conduct a needs assessment exercise to determine the level of service so that all stroke patients in the area have access to the same standards of care (C\*)
- d Any patient with persistent/continuing symptoms should be rapidly referred to hospital with the expectation of admission to a stroke unit. Exceptions may include those relatively few patients for whom the diagnosis will make no difference to management (A\*)
- e A neurovascular clinic for the rapid assessment of TIA and minor stroke should be available (B\*)

- f Services for TIA should have rapid access to imaging for patients who need it (B)
  - g Hospitals offering thrombolysis to patients after ischaemic stroke, outside of a trial, should only do so after specialist staff training and registration with the UK Safe Implementation of Thrombolysis in Stroke Monitoring Study (SITS-MOST) programme (B)
  - h Specialist stroke services should be available in the community as part of an integrated system of care to facilitate early supported discharge (A)
  - i Specialist day hospital rehabilitation or specialist domiciliary rehabilitation can be offered to outpatients with equal effect (A)
- 

### **Evidence** (Tables 2.1.1–2.1.3)

Most of the evidence comes from meta-analyses or multiple randomised controlled trials (RCTs), but some is secondarily derived from those studies. The main references for each guideline are:

- a Stroke Unit Trialists' Collaboration 2004. The evidence in support of this guideline is overwhelming, and implementing this guideline should be the highest priority of all clinicians and managers (Ia)
- b Tables 2.1.1–2.1.3 contain most of the supporting evidence: for (i)–(iii) see Stroke Unit Trialists' Collaboration 2004; Kalra 2000 (Ia); (iv) Naylor *et al* 1994 (Ib); (v) Michaels *et al* 2000 (health technology assessment) (Ia); (vi) Wardlaw *et al* 2004 (health technology assessment)
- c Clinical Standards Advisory Group report 1998 (IV)
- d Stroke Unit Trialists Collaboration 2004; Langhorne & Pollock 2002 (II)
- e Table 2.1.1a: Blight *et al* 2000 (III)
- f Lovett *et al* 2003; Coull *et al* 2004 (III); Wardlaw *et al* 2004 (health technology assessment) (Ia)
- g NINDs 2000 selection criteria and protocols result in the outcomes on which the evidence for successful thrombolysis is based (Ib). The Conditions of UK License require strict adherence to SITS-MOST (IV)
- h Table 2.1.1b: Early Supported Discharge Trialists 2004 (Ia) Patients were eligible for these trials once they could be safely supported at home. This varied according to the intensity of care available in the community
- i Table 2.1.1c: Gladman *et al* 1993 (but see Dekker *et al* 1998); Forster *et al* 1999b; Indredavik 2000; Outpatient Service Trialists 2002 (Ia). The cost-benefit equation is not yet fully investigated

### Local guidelines

All commissioners (primary care trusts, strategic health authorities) and all providers (hospital services and community services) in a health district will need to discuss and agree:

- 1 who will undertake a local needs assessment, and how;
- 2 which local providers are to be involved in a co-ordinated, specialised stroke management service;
- 3 who will be the lead clinician, responsible for all local stroke services in general, and the multidisciplinary team in particular;
- 4 the balance between hospital services, day hospitals, and domiciliary services; and how services work together effectively;
- 5 how services for longer-term support and reintegration into normal life are organised;
- 6 referral paths for rapid access to neurovascular clinics and specialist stroke services;
- 7 the numbers of specialist staff needed;
- 8 how training and education is to be provided;
- 9 evidence-based protocols for common problems.

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*Patient's view: I thought, 'I feel a bit funny,' and this arm was all tingling – the left arm – tingling up and down and my voice started going funny and I said to my wife, 'What's happening?' She said, 'Oh, I think you're having a stroke.' (73 year old man)*

*Patient's view: Terrifying, really absolutely terrifying. When... suddenly you're... moving and all of a sudden you can't move anything, I couldn't move that at all, it was just hanging down my side and I was trying to lift it up and... in the end I couldn't lift it up, then you feel that it's completely dead... It still is. (73 year old man)*

*Patient who had a stroke in Austria: They were there right away and then twice a day after that. They constantly showed you what you would be able to do for yourself eventually. But when I came back to England it took ten days before they even assessed me. I know now that all the literature says that the first few days or weeks are the most important but here, you're almost ignored.*

---

### 2.1.2 Specialist stroke team

Effective stroke rehabilitation requires the co-ordinated skills of a wide range of professionals.

A **specialist** is defined as a healthcare professional with the necessary knowledge and skills in managing people with the problem concerned, usually evidenced by having a relevant further qualification and keeping up to date through continuing professional development.

A **specialist team or service** is defined as a group of specialists who work together regularly, managing people with a particular group of problems (for these guidelines, stroke) and who between them have the knowledge and skills to assess and resolve the majority of problems.

The precise composition and numbers for such a team will vary according to the size of the unit and its objectives. The range of staffing levels has been described in the British Association of Stroke Physicians' benchmarking survey (Rodgers *et al* 2003a). Each profession is responsible for defining the levels of expertise required.

---

### **Recommendations**

- a** A specialist stroke team should include staff with specialist knowledge of stroke, specifically (C):
  - i) a consultant physician specialising in stroke medicine
  - ii) nurses
  - iii) a physiotherapist
  - iv) an occupational therapist
  - v) a speech and language therapist
  - vi) a neuroradiologist
  - vii) a dietitian
  - viii) a clinical psychologist
  - ix) a pharmacist
  - x) a social worker
- b** These should be adequately supported by staff in training (D)
- c** There should be an in-house education programme involving all staff providing the stroke service (B)
- d** Stroke services should take responsibility for training junior professionals in the specialty of stroke (D)
- e** There should be access to services supplying orthotics, specialist seating and assistive devices (B)

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### **Evidence** (Tables 2.1.1–2.1.3)

- a** Department of Health 2001, National Service Framework for Older People Stroke Standard (IV)
- b** Consensus of working party (IV)
- c** Extrapolation from Stroke Unit Trialists' Collaboration 2004; Langhorne & Pollock 2002 (II)
- d** Consensus of working party (IV)
- e** Mann *et al* 1999 (III); Audit Commission 2002 (IV)



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*Carer view on continuity of care received in the general ward situation: You're getting... early clinical decisions... then some on-going clinical decisions... then... rehabilitation decisions... They should be in a stroke associated unit where you get focused attention... it saves time, it saves money, it saves beds [so] they can deal with more people who've got... God knows what else.*

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### 2.1.3 Services for management of patients with acute stroke: hospital or home?

The evidence suggests that all patients with stroke benefit from being managed in specialised stroke units in hospital and that those managed at home do less well. No randomised trials have shown that the availability of home care services for patients with acute stroke can improve patient outcomes or reduce costs (Langhorne *et al* 2004).

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#### Recommendations

- a Patients should be admitted to hospital for initial care and treatment (A\*)
  - b Patients should only be managed at home if:
    - i the guidelines in part 3.1 can be adhered to (D)
    - ii care services are able to provide adequate and flexible support within 24 hours (D)
    - iii the services delivered at home are part of a specialist stroke service (A)
  - c Patients with persisting impairments who have not been admitted to hospital should be seen by a specialist rehabilitation team that includes a specialist occupational therapist (A)
- 

#### Evidence (Tables 2.1.1a–e and 2.1.3)

The evidence is largely derivative. Evidence shows that patients benefit from access to a specialist stroke service.

- a Stroke Unit Trialists' Collaboration 2004; Langhorne *et al* 2004; Shepperd & Iliffe 2004; the evidence in support of this guideline is overwhelming (Ia)
- b (i) Consensus of working party (IV); (ii) Consensus of working party (IV); (iii) Wade *et al* 1985a,b; Langhorne *et al* 2004; Outpatient Service Trialists 2003 (Ia)
- c Logan *et al* 1997; Walker *et al* 1999; Gilbertson *et al* 2000 (Ib)

#### Local guidelines

For patients managed at home local guidelines should specify:

- 1 how specialist acute medical diagnostic services and specialist rehabilitation services are accessed quickly and easily for patients not admitted (eg organisation of the neurovascular clinic, direct access or rapid referral systems);

- 2 what emergency home care services are available;
- 3 their procedures (contact arrangements, etc);
- 4 what specialist rehabilitation community services are available.

For patients admitted to hospital local guidelines should specify:

- ▶ which wards have the necessary expertise and organisation.

## 2.2 Stroke services for younger people

Stroke is predominantly a disease of people aged over 65 years, but a significant number will be younger. This group of patients may not fit easily into standard services. Their medical needs may differ, with more emphasis on diagnosing the specific cause of the stroke. Rehabilitation may require specific and specialised attention to work prospects and bringing up young children. Prognosis and social needs may be different. A separate set of guidelines covering stroke in children has been produced (Royal College of Physicians and Royal College of Paediatrics and Child Health 2004).

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### Recommendations

- a Specialist medical and rehabilitation services must:
  - i recognise the particular physical, psychological and social needs of younger patients with stroke (C\*)
  - ii be provided in an environment suited to their personal needs (C\*)
- b People who had a stroke in childhood and require continuing health supervision when they become adult should have their care transferred in a planned and organised way from paediatric to adult services (D)

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### Evidence (Table 2.2.1)

- a Kelson *et al* 1998; Kersten *et al* 2002; Roding *et al* 2003 (III). Younger patients in all these qualitative studies described how the services they received did not make provision for their specific needs during rehabilitation, and in the longer-term for areas such as returning to work or full-time education, and caring for a young family
- b Consensus of Working Party; Intercollegiate Working Party for Paediatric Stroke (IV)

### Local guidelines

Local guidelines should state:

- ▶ how the specific clinical, rehabilitation and social needs of younger people with stroke are to be assessed and managed.

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*Patient's view: That's the most important in my life, to get back to work. I got a mortgage. I got to pay the insurance, so right now I'm the backbone. (61 year old man)*

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## 2.3 Patients and carers

### 2.3.1 Patient and carer education

Information for patients and their families following stroke can be offered in a variety of formats. Patient information booklets are published with these guidelines, and are also available on the web. Patients' organisations have a variety of leaflets and web-based materials on stroke. However, research demonstrates how difficult it is to give information effectively and failure to provide sufficient information is one of the commonest causes of patients' complaints.

---

#### Recommendations

- a Information provision should take into account the needs of each individual (D)
  - b Information should be freely available to patients and their families in a variety of languages and formats specific to patient impairments (A\*)
  - c Patients and carers should be offered education programmes to assist them in adapting to their new role (B)
- 

#### Evidence (Table 2.3.1)

- a Consensus of working party (IV)
- b Forster *et al* 2004 (Ia) This review showed the variable quality of trials and wide range of outcomes used. However there was evidence that information provided in an education context, or group setting may be more beneficial than provision of paper information alone
- c Extrapolation from findings in Forster *et al* 2004; (Ia)

### 2.3.2 Carers and families

Stroke is a family illness. Initially, as in any other acute illness, relatives need information and support through the crisis, but it is different from many other acute illnesses in that they will usually need long-term practical, emotional, social and financial support to cope with the many residual problems. The extent of the stress of caring for a disabled person and the factors influencing the nature and extent of stress has only recently been the subject of study. There is little research into ways of alleviating the distress. Consequently there is little evidence to help with guidelines.

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*Carer's view: Its completely affected the family, it devastates you.*

*Patient's view: We had to keep my son off school [to help care for the respondent and her dependent disabled husband]. My son eventually was excluded from the school and my friend went up and she said, 'Look, I have told you and told you the woman has had a stroke.' They didn't want to know.*

*Patient's view: I never got anything at all. My [disabled] husband – nobody helped him.*

*Carer's view: I was in a full-time job which I'd had to give up when he was discharged from hospital. I don't know what my options were – he was totally dependent, you know, and you put him to bed and got him up and dressed, you washed him and everything like that.*

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## Recommendations

- a The needs of the carers should be considered from the outset in the domains of:
    - i information provision (A\*)
    - ii planning and decision making (A\*)
    - iii professional support (eg psychosocial, health) (A\*)
  - b Stroke services must be alert to the likely stress on carers, specifically recognising the stress associated with 'hidden' impairments such as cognitive loss, urinary incontinence, and irritability (B\*)
  - c Information should be given to carers on the nature of stroke and its manifestations, and on relevant local and national services (A)
  - d Family support workers should be involved to help reduce carer distress (A)
- 

## Evidence (Table 2.3.1–2.3.2)

- a i) Forster *et al* 2004; ii)-iii) van den Heuvel 2000, 2001, 2002; Johnson & Pearson 2000 (IIa)
- b Anderson *et al* 1995; Dennis *et al* 1998; Scholte op Rheimer *et al* 1998a,b (III)
- c Forster *et al* 2004 (Ia) showed that there is some evidence that information combined with education sessions is more effective than information giving alone
- d Dennis *et al* 1997; Mant *et al* 2000; Lincoln *et al* 2003 (Ib)

## Local guidelines

Local guidelines will need to:

- 1 define how to involve families from the outset;
- 2 ensure that information that is relevant, easily understood and local is readily available in suitable formats and languages;
- 3 describe how families are to be supported: who will do it, and how services are accessed.

## 2.4 Organisation of and approaches to rehabilitation

There is debate about the best overall clinical approach to rehabilitation of an individual patient, and there is significant variability in the specific interventions used. Given that each profession may consider the patient from a different perspective, an atmosphere of co-operation and consultation should be created. Some of the evidence used here is taken from studies in other chronic illnesses.

This section covers the following aspects of the organisation of rehabilitation:

- ▶ the use of assessments/measures (2.4.1)
- ▶ goal-setting (2.4.2)
- ▶ the underlying approach to rehabilitation (2.4.3)
- ▶ contact with therapists (2.4.4).

### 2.4.1 Use of assessments/measures

Objective measurement of function is central to rehabilitation. Many valid tools exist and these guidelines do not attempt to specify which should be used. It is important that staff are trained in the use of the chosen scales to ensure that they are used consistently within the team and that their function and limitations are understood.

---

#### Recommendations

- a Clinicians should use assessments or measures appropriate to their needs (ie to help make a clinical decision) (D)
  - b Where possible and available, clinicians should use assessments or measures that have been studied in terms of validity (appropriateness for the purpose) and reliability (extent of variability) (D)
  - c Routine assessments should be minimised, and each considered critically (D)
  - d Patients should be reassessed at appropriate intervals (D)
- 

#### Evidence (Table 2.4.1)

- a–d Although there is evidence that a structured assessment helps to identify problems (Wade 1998a; Wikander *et al* 1998), no specific evidence supports any particular recommendation (IV)

### **Local guidelines**

Local clinicians should:

- 1 agree which assessments are going to be used locally, in order to improve communication;
- 2 agree how frequently formal reassessment is going to occur;
- 3 not spend too much time or effort discussing and researching individual assessments. It is important to allow some choice if there are strong views or equally good measures available.

### **2.4.2 Goal-setting**

One of the characteristics of rehabilitation is the setting of goals. However, the term is used loosely and practice varies greatly. Goal-setting here refers to the identification of, and agreement on, a target which the patient, therapist or team will work towards over a specified period of time.

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### **Recommendations**

- a Goals should be meaningful and challenging but achievable (B), and there should be both short- and long-term goals (D)
  - b Goal-setting should involve the patient (B), and the family if appropriate (D)
  - c Goals should be set at the team level as well as at the level of an individual clinician (D)
  - d Judging progress against goals set ('goal attainment scaling') may be helpful (B)
- 

### **Evidence** (Table 2.4.2)

There is reasonable evidence for goal setting in rehabilitation (Wade 1998b), but most of it is not specific to stroke. Although goal setting is identified as good practice by specialists in stroke care (McGrath & Davies 1992; Rockwood *et al* 1993; McGrath *et al* 1995), the majority of studies are descriptive and based on small samples for other patient populations.

- a Bar-Eli *et al* 1994, 1997; VanVliet *et al* 1995 (III); Consensus of working party (IV)
- b Blair 1995; Blair *et al* 1996; Glasgow *et al* 1996 (III); Consensus of working party (IV)
- c Consensus of working party (IV)
- d Stolee *et al* 1992; Rockwood *et al* 1997 (IIb)

### Local guidelines

Local groups will need to agree on:

- 1 the terminology used by the team (see Table 1.2);
- 2 documentation (eg as given in Wade 1999);
- 3 the possible other uses of goals (such as goal-attainment scaling as a means of monitoring progress).

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*Patient's view: Letting me do things on my own, which is wonderful really. Even buttering bread – they see I was having difficulty but they were just standing there, 'Go on Bill!' (73 year old man)*

---

### 2.4.3 Underlying approach to rehabilitation

See also section 4.

All rehabilitation approaches focus on the modification of impairment and improvement in function within everyday activities. Differences in approaches centre around the type of stimuli used and/or the emphasis on task-specific practice and/or the principles of learning followed. It is important for all team members to implement a consistent approach to rehabilitation and to maximize the carry-over outside of formal therapy, giving patients opportunity for informal practice. Emerging evidence suggests that giving the patients the opportunity to practice functional activities (task-specific training) may be a major element in improved outcomes.

---

### Recommendations

- a All members of the healthcare team should work together with the patient, carer and family, using a shared philosophy and common goals (B\*)
  - b One of the current therapeutic approaches to movement re-education should be used to improve function (A)
  - c Patients should be given the opportunity to repeatedly practice functional skills and activities (A\*)
  - d All staff should be trained in the recognition and basic management of emotional, communication and cognitive problems (D)
  - e Healthcare workers should consider their knowledge, training, competence, health and physical capabilities before every manual handling procedure, taking into account the setting and the available equipment (B)
  - f All team members handling patients should be taught safe and appropriate ways of moving and handling (C)
-

**Evidence** (Tables 2.4.3, 2.4.4, 3.8.1 and 4.4.1)

Research is accumulating to suggest that patients derive benefit from therapy focused on the management of disability.

- a Basmajian *et al* 1987; Jongbloed *et al* 1989; Gelber *et al* 1995; Edmans *et al* 2000; van der Lee *et al* 2001 (Ib)
- b Pollock *et al* 2004 (Ia)
- c Table 2.4.4: Smith *et al* 1981a; Langhorne *et al* 1996; Kwakkel *et al* 1999; Trombly & Wu 1999; Dean *et al* 1997, 2000a; Wu *et al*, 2000 (Ia)
- d Consensus of working party (IV)
- e Table 3.8.1: Carr & Kenney 1992; Lincoln *et al* 1996 (IIb); Health and Safety Executive 1992
- f Health and Safety Executive 1992; Royal College of Nursing 2002 (IV)

**Local guidelines**

Local teams should:

- 1 agree core training strategies;
- 2 provide training opportunities for all staff in moving and handling stroke patients.

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*Patient's view: Whoever says there's no frustration is lying. There is frustration within yourself because you can't do what you want to do – you cannot and that's it. (71 year old woman)*

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**2.4.4 Contact with therapists**

There is much debate about the amount of therapy that is needed. One important but unanswered question is whether there is a minimum threshold, below which there is no benefit at all. Studies on well-organised services show that it is rare for patients to receive more than two hours therapy each day.

---

**Recommendations**

- a Patients should undergo as much therapy appropriate to their needs as they are willing and able to tolerate (A)
  - b The team should promote the practice of skills gained in therapy into the patient's daily routine in a consistent manner (A\*)
-



**Evidence** (Table 2.4.4)

There are few trials, and interpretation of most is confounded because services giving more therapy were usually also well organised and expert, in comparison with the control group.

- a Langhorne *et al* 1996; Kwakkel *et al* 1997; Partridge 2000; Slade 2002; Table 4.3.1: Bhogal *et al* 2003 (Ia)
- b Smith *et al* 1981a; Langhorne *et al* 1996; Kwakkel *et al* 1999 and 2002 (Ia); Table 4.4.1a: Lincoln 1999 (Ib)

**Local guidelines**

Local teams should:

- ▶ agree standards of practice including frequency and duration of therapy.

**2.5 Palliative care**

Stroke may cause a range of distressing symptoms that need to be managed, once it is felt that death is inevitable. These may include pain, depression, confusion and agitation, and problems with nutrition and hydration.

**Recommendations**

- a All staff providing palliative care for patients after stroke should be trained in the principles and practice of palliative care (D)
- b All stroke patients should have access to specialist palliative care expertise when needed (D)
- c End of life decisions to withhold or withdraw life-prolonging treatments (including artificial nutrition and hydration) should be in the best interests of the patient (D)

**Evidence** (No table)

- 1 Extrapolation from National Institute for Clinical Excellence guidelines (2004), Supportive and palliative care for people with cancer: Part A and Part B. Consensus of working party (IV)
- 2 Extrapolation from NICE Guidelines (2004) Supportive and palliative care for people with cancer: Part A and Part B; Consensus of the working party (IV)
- 3 British Medical Association (2002) Withholding and Withdrawing Life-prolonging Medical Treatment: Guidance for decision-making [www.bmj.com/withwith/ww.htm](http://www.bmj.com/withwith/ww.htm); Consensus of working party (IV)

### *Local guidelines*

The local service will need to identify:

- 1 where expertise in palliative care can be accessed;
- 2 training for the stroke team in palliative care skills.

## 2.6 Service evaluation

Service evaluation needs to cover not only individual professions and departments but also the quality of the service as a whole, including care in the community. This section discusses various ways in which the stroke service might be evaluated for the purposes of clinical governance and quality improvement. Matters to be considered include sources of data (especially routine hospital data, documentation, outcome assessment, adjusting for casemix, measuring structure and process and how the data can be used to improve clinical services). Care should be taken using routine hospital statistics as the basis for needs assessment as in many districts these are inaccurate.

### 2.6.1 Organisational and clinical audit

The Intercollegiate Working Party for Stroke organises the National Sentinel Audit, the key indicators of which are included in Appendix 2.

---

### *Recommendations*

- a Clinicians should take responsibility for all aspects of data collection including:
    - i keeping a stroke register (B)
    - ii providing leadership in clinical audit (C)
  - b Assessment of the quality and effectiveness of services should concentrate upon process measures, rather than outcome, until improvements are made in methods of risk adjustment for casemix (B)
  - c Clinicians should benchmark the clinical and organisational quality of their services against national data, by participating in national audit (B)
  - d Service providers should consider using a specific, structured set of documentation to follow the patient throughout his/her illness (not necessarily extending to integrated care pathways) (B)
  - e All clinicians should be involved in audit of stroke care and use the results to plan service improvements (B)
  - f GPs should maintain a stroke register to enable them to routinely audit primary and secondary prevention of stroke (C)
-

**Evidence** (Table 2.6.1 a-c)

- a i) Mant *et al* 1997 (IIa); ii) Royal College of Physicians 2001 *Consultant Physicians: Working for Patients* (IV)
- b Jessee & Schranz 1990; Mant & Hicks 1995; Gompertz *et al* 1995 (IIa)
- c Rudd *et al* 2001 (III)
- d Extrapolation from Kwan & Sandercock 2002 (Ia)
- e Rudd *et al* 2001 (III)
- f Department of Health 2001 – National Service Framework for Older People, Standard 5 requirement by April 2004; Department of Health – General Medical Services (GMS) Contract 2003 (IV)

**Local guidelines**

Local services will need to:

- 1 discuss areas likely to benefit from standard documentation;
- 2 consider using a standardised audit package, eg the Royal College of Physicians' *A multidisciplinary stroke audit package: second edition*;
- 3 discuss how outcome data collected routinely are to be used to improve quality without over-interpretation of data and differences;
- 4 agree systems for integrating primary and secondary care data for clinical and audit purposes.

**2.6.2 Patient and carer opinions**

The perceptions of service users are an important way of evaluating service delivery, as demonstrated in the report by the College of Health on the views of patients and their carers for the development of these guidelines. Carers' views should not necessarily be taken to reflect those of patients who are unable to communicate or participate easily in opinion gathering exercises (Sneeuw *et al* 2002).

**Recommendations**

- a Districts should include the views of patients and carers in service evaluations (B)
- b Plans for service developments should include the opinions of patients and carers (C)

**Evidence** (Table 2.6.2)

- a Consensus of working party; and extrapolation from Scholte op Reimer *et al* 1996; Pound *et al* 1999 (III); Commission for Health Audit and Inspection 2003 (IV). Useful websites include [www.chi.nhs.uk/eng/surveys](http://www.chi.nhs.uk/eng/surveys) and the Picker Institute who are conducting the NHS patients' surveys under the auspices of the Health Care Commission (<http://www.pickereurope.org/surveys/overview.htm>)
- b The NHS Plan, Ch10 (Department of Health 2000) (IV)

**Local guidelines**

Local guidelines should specify:

- ▶ how patient and carer opinions will be obtained and incorporated into service planning and delivery.

Clinical care

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## 3 Acute management

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### 3.1 Diagnosis and investigations

Effective early management of acute stroke and transient ischaemic attack can reduce mortality and morbidity as well as reducing waste of scarce health and social services resources. The evidence from the Stroke Units Trialists' Collaboration shows that non-specialist disorganised care costs lives, increases dependency and is not cost effective.

#### 3.1.1 Investigation and management of patients with transient ischaemic attack

The risk of developing a stroke after a hemispheric TIA can be as high as 20% within the first month, with the greatest risk within the first 72 hours.

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#### Recommendations

- a Patients first seen in the community with TIA, or with a stroke but having made a good recovery when seen, should be assessed and investigated in a specialist service (eg neurovascular clinic) as soon as possible within seven days of the incident (B\*)
  - b Patients likely to have a diagnosis of TIA should be prescribed an alternative antiplatelet regime immediately (B)
  - c Patients with more than one TIA in a week should be investigated in hospital immediately (B)
  - d Risk factors for cerebrovascular disease such as severe hypertension should be treated appropriately or the patient referred for specialist management (A)
- 

#### Evidence (Table 3.1.1)

- a Lovett *et al* 2003; Coull *et al* 2004 show a high risk of early stroke after TIA
- b Extrapolation from International Stroke Trial Collaborative Group 1997 and Chinese Acute Stroke Trial 1997 (II)
- c Wardlaw *et al* 2004b (health technology assessment)
- d Consensus of working party (IV); Tables 3.3.2 to 3.3.4 Post-stroke Antihypertensive Treatment Study 1995; PROGRESS 2001; Heart Protection Study Collaborative Group 2002a,b (Ib); Table 3.5.2: Rothwell *et al* 2003c

### *Local guidelines*

Local services need to:

- ▶ agree on all aspects of the specialist neurovascular service (access, organisation, links with vascular surgery and measurement of quality).

### *3.1.2 Investigation of acute stroke*

If patients present with a clinical syndrome that might be due to stroke, the first stage of management is to make the correct diagnosis through careful history-taking, examination and investigation.

---

### *Recommendations*

- a The diagnosis should always be reviewed by an experienced clinician with expertise in stroke. The assessment and investigation should include identification of possible underlying cardiovascular causes (B\*)
  - b The initial neurological assessment should document the localisation of the likely cerebral area affected (C)
  - c Brain imaging should be undertaken as soon as possible in all patients, within 24 hours at most of onset unless there are good clinical reasons for not doing so (B\*)
  - d Brain imaging should be undertaken as a matter of urgency if the patient has: (B)
    - i been taking anticoagulant treatment
    - ii a known bleeding tendency
    - iii a depressed level of consciousness
    - iv unexplained progressive or fluctuating symptoms
    - v papilloedema, neck stiffness or fever
    - vi severe headache at onset
    - vii indications for thrombolysis or early anticoagulation
  - e If the patient deteriorates unexpectedly further brain imaging should be considered to identify intracranial complications, eg hydrocephalus or haemorrhagic transformation (B)
  - f If the underlying pathology is uncertain, or the diagnosis of stroke is in doubt after CT scan, MRI should be considered (B)
  - g Cross-sectional MRI should be performed when imaging has been delayed for more than 10 days after stroke (B)
-

**Evidence** (Table: 3.1.2)

Little direct research has been undertaken on the process of diagnosis, and most data come incidentally from other studies. The latest health technology assessment (Wardlaw *et al* 2004) provides major evidence to support modern imaging techniques to aid diagnosis.

- a Ricci *et al* 1991; Kothari *et al* 1995b; Martin *et al* 1997 (III)
- b Consensus of working party; Royal College of Physicians of Edinburgh 1998; and 2000 (IV)
- c Extrapolation from Chinese Acute Stroke Trial 1997 and International Stroke Trial Collaborative Group 1997 (Ib); Royal College of Physicians of Edinburgh 1998/2000 and Royal College of Radiologists 2003, National Service Framework for Older People, Department of Health 2001 (IV)
- d Sandercock *et al* 1985 (III); Health Technology Assessment, Wardlaw *et al* 2004 (Ia)
- e Keir *et al* 2002 (III); Consensus of working party (IV)
- f Wardlaw *et al* 2004 (Ia)
- g Wardlaw *et al* 2004 (Health Technology Assessment) (Ia)

---

*Carer: Well, I thought, there's no alternative but to dial 999. So I dialled 999, I said 'It's not an accident or an emergency for a hospital or anything' I said, 'but my husband's fallen down in the house,' and I said, 'He's suffered a stroke some time back ...and I can't get him up'. They were very, very kind. Twice they sent them... Now we've got these link lines, you know...*

---

## 3.2 Immediate (medical/surgical/nursing/therapy) interventions for stroke

Stroke is a medical emergency. With active management in the initial hours after stroke onset ischaemic brain may be saved from infarction.

### 3.2.1 Initial screening and monitoring

---

#### Recommendations

- a The patient should be assessed on admission for:
    - i their risk of aspiration, using a validated 50 ml water swallow screening tool, administered by an appropriately-trained professional (B)
    - ii their needs in relation to moving and handling (C)
    - iii their risk of developing pressure sores (C)
  - b Monitoring in the acute phase should include: conscious level, blood pressure, pulse, heart rhythm, temperature, blood glucose, oxygen saturation and hydration (D)
-



**Evidence** (Table: 3.2.1)

- a
  - i Martino *et al* 2000; Perry & Love 2001; There is much evidence supporting the validity of simple clinical screening of swallow, voluntary cough and pharyngeal sensation. Testing the gag reflex is invalid as a test of swallow (Horner *et al* 1993)
  - ii Health and Safety Executive 1992; Royal College of Nursing 2002
  - iii National Institute for Clinical Excellence 2001, guidelines on *Pressure ulcers: risk assessment and prevention (guideline B)* (IV)
- b Consensus of working party (IV)

### 3.2.2 General interventions

---

**Recommendations**

- a Blood glucose, arterial oxygen concentration, hydration and temperature should be maintained within normal limits. Infection should be actively managed unless the patient is receiving palliative care (B)
  - b Blood pressure should only be lowered in the acute phase where there are likely to be complications from hypertension, eg hypertensive encephalopathy, aortic aneurysm with renal involvement (B)
  - c Patients should be mobilized as soon as possible (B)
- 

**Evidence** (Table 3.2.2)

- a Extrapolation from Stroke Unit Trialists' Collaboration 2004; Indredavik *et al* 1999b (Ib); Langhorne & Pollock 2002; Bhalla *et al* 2003 (III)
- b Blood Pressure in Acute Stroke Collaboration 2004 (Ia); Ahmed & Wahlgren *et al* 2003 (Ib)
- c Langhorne & Pollock 2002 and extrapolation from Stroke Unit Trialists' Collaboration 2004 (II)

## 3.3 Management of acute ischaemic stroke

### 3.3.1 Thrombolysis

Thrombolysis has the potential to improve outcome of patients with cerebral ischaemia, however it is a high-risk treatment and should only be administered by personnel trained in its use, in a centre equipped to investigate and monitor patients appropriately. Evidence from Phase IV studies on intravenous thrombolysis in North America has shown that unless the protocols for treatment are strictly adhered to outcomes are worse. The evidence for the benefits of intra-arterial thrombolysis remains limited.

---

### Recommendations

- a Thrombolytic treatment with alteplase should only be given provided that: (A)
    - i it is administered within three hours of onset of stroke symptoms (unless as part of a clinical trial)
    - ii haemorrhage has been definitively excluded
    - iii the NINDS criteria have been met
    - iv the patient is in a centre registered with Safe Implementation of Thrombolysis in Stroke Monitoring Study (SITS-MOST)
  - b Patients given alteplase outside the context of a research trial should be notified to the international audit of thrombolysis SITS-MOST (C)
  - c Intra-arterial thrombolysis should only be used in centres with an interventional neuro-radiology service (C)
- 

### Evidence (Table 3.3.1)

- a Liu & Wardlaw 2004; NINDS 2000; Wardlaw *et al* 2004a (Ia)
- b The European License for Tissue Plasminogen Activator (tPA) requires centres to register with the agency that quality assures the conditions under which it is administered in the UK (address included in Appendix 3) (IV)
- c Extrapolation from Furlan *et al* 1999. Intra-arterial thrombolysis was suitable in a small number of cases meeting specific criteria (Ia)

### 3.3.2 Anti-thrombotic treatment

---

#### Recommendations

- a Aspirin (300 mg) should be given as soon as possible after the onset of stroke symptoms once a diagnosis of primary haemorrhage has been excluded. In dysphagic patients aspirin should be given rectally or by enteral tube (A\*). Thereafter aspirin (50–300 mg) should be continued indefinitely until an alternative antiplatelet therapy is started (see section 3.5)
  - b Aspirin should be delayed for 24 hours following thrombolysis (A)
  - c Anticoagulation should not be initiated routinely for the treatment of acute ischaemic stroke, including progression (A)
-

**Evidence** (Table 3.3.2)

- a International Stroke Trial Collaborative Group 1997; Chinese Acute Stroke Trial 1997; de Schryver *et al* 2004 (Ia). Early aspirin use is strongly supported by large trials, though the effect is relatively limited
- b NINDS 2000; Wardlaw *et al* 2004a (Ia)
- c Gubitz *et al* 2004; Sandercock *et al* 2004 (1a). Few patients with atrial fibrillation have been included in trials of early anticoagulation after stroke. The benefits of early anticoagulation may be offset by the risks of haemorrhage, though these may be less for small strokes (Ia). Saxena *et al* 2001 showed there was no overall advantage to the use of low and medium dose subcutaneous unfractionated heparin in patients with AF in the acute phase of ischaemic stroke (Ia)

### 3.3.3 Other drug treatment

None of the trials of drugs aiming to salvage ischaemic brain (eg neuroprotectors and drugs to reduce cerebral oedema) have thus far identified any that can be recommended for routine use.

---

**Recommendations**

- a Drugs (other than those covered elsewhere in these guidelines) aimed at treatment of the ischaemic or haemorrhagic lesion should only be used as part of a randomised controlled trial (A)
- b Drugs depressing the function of the central nervous system (eg anxiolytics and tranquillisers) and new prescriptions for sedatives should be avoided (B)

---

**Evidence** (Tables 3.3.3a and b)

Meta-analyses have been undertaken for many drug interventions. For most drugs the evidence is simply too weak to recommend use at this point. The tables show the Cochrane collaboration reviews and other studies. There are well over 100 individual trials, and not all are referred to here.

- a There is insufficient evidence to warrant the use of most drugs, and some are detrimental (Ia)
- b Goldstein 1995. This study supports animal work (IIa)

## 3.4 Management of specific diagnoses

### 3.4.1 Management of primary intracerebral haemorrhage

Surgical interventions are not well researched, but there is no evidence to support *routine* surgical evacuation of intracerebral haemorrhage. The STICH trial is expected to report after the publication of these guidelines.

---

**Recommendation**

- a Surgical intervention should be considered in cases of supratentorial haemorrhage with mass effect or posterior fossa/cerebellar haematoma (B)
- 

**Evidence** (Table 3.4.1)

- a Mathew *et al* 1995; Prasad & Shrivastava 1998; (III) Zuccarello *et al* 1999 (1b)

**3.4.2 Management of hydrocephalus and cerebral oedema**

---

**Recommendations**

- a Neurosurgical opinion should be sought for cases of secondary hydrocephalus (D)
  - b Cases of malignant cerebral artery infarction with, or likely to develop, significant mass effect should be discussed with a neurosurgeon (D)
- 

**Evidence** (Table 3.4.2)

- a Morley *et al* 2004 (Ia). Cochrane review that found no trials, so evidence is inconclusive. Consensus of working party (IV)
- b Consensus of the working party (IV)

**3.4.3 Management of cerebral venous thrombosis**

Occlusion of the cerebral veins or dural venous sinuses may present as a stroke syndrome, subarachnoid haemorrhage or as isolated raised intracranial pressure. The condition should be considered in patients who may have a prothrombotic tendency (particularly during pregnancy or the puerperium), in those with intracranial, nasal sinus or ear infections, and in those who have been dehydrated or have disseminated malignancy. Headache is usually a prominent feature which may precede the stroke syndrome by several weeks. Seizures are common. Intracranial haemorrhage may occur and areas of infarction frequently show haemorrhagic change.

---

**Recommendations**

- a Cross-sectional MRI should be performed with MRV where venous thrombosis needs to be excluded and has not been demonstrated on CT (D)
  - b Cases with suspected or confirmed cerebral venous thrombosis should be commenced on heparin (B)
-

**Evidence** (Table 3.4.3)

- a Consensus of working party (IV)
- b Stam *et al* 2004 (Ia). There was a non-significant trend in favour of treatment

### 3.4.4 Management of cervical arterial dissection

Cervical arterial dissection is a condition in which a tear occurs in the inner lining (intima) of either the carotid or vertebral artery allowing blood to track within the wall of the artery. Ischaemic stroke or TIA can occur because of embolisation of thrombus which forms at the site of the intimal tear or because the lumen of the artery becomes totally occluded. Rarely, dissection of the intracranial vertebral artery may cause subarachnoid haemorrhage. The symptoms of dissection may present days or even weeks after the initial injury to the arterial wall. Although traditionally associated with trauma or abnormal movement of the neck (particularly rotation) it is now recognised that dissection can occur spontaneously. The disorder appears to be more common in children and younger adults below the age of 50 years. Dissection should be especially considered in patients with a history of recent neck pain or trauma and in those with Horner's syndrome or evidence of a collagen disorder.

---

**Recommendation**

- a Cross-sectional MRI should be considered with MRA where arterial dissection needs to be excluded (D)

---

**Evidence** (Table 3.4.4)

- a Consensus of working party (IV)

### 3.4.5 Management of subarachnoid haemorrhage

Initial clinical management of subarachnoid haemorrhage (SAH) aims largely to prevent re-bleeding and to reduce the rate of secondary complications such as cerebral ischaemia or hydrocephalus. Later management of patients with a residual deficit is similar to that for ischaemic stroke, so separate guidelines only address acute diagnosis and treatment.

---

**Recommendations**

- a Subarachnoid haemorrhage should be considered in any patient presenting with sudden-onset, severe and unusual headache with or without any associated alteration in consciousness (B)
- b If subarachnoid haemorrhage is suspected:
  - i CT brain scan should be undertaken immediately if the patient has an impaired level of consciousness and within 12 hours in all patients (D)

- ii if the CT scan is negative or equivocal lumbar puncture should be undertaken 12 or more hours after onset. Spectrophotometry should be used to permit detection of small amounts of xanthochromia (B)
- c An MRI brain scan should not be used to diagnose SAH (D)
- d Once the diagnosis is confirmed:
  - i oral nimodipine 60 mg four-hourly should be given, unless there are specific contraindications (A)
  - ii anti-fibrinolytic agents (A) and steroids (D) should not be given
  - iii general supportive measures to ensure adequate hydration and oxygenation should be instituted and should include adequate analgesia, eg codeine phosphate (D)
- e All patients, irrespective of age or clinical grade, should be discussed with a neurosurgeon immediately (D)
- f Transfer of patients to the neurosciences centre for further specialist management should be on the same day, and in accordance with local protocol (B)
- g Imaging of cerebral vessels should be undertaken at the neurosciences centre (B). A ruptured aneurysm should be treated by endovascular or surgical obliteration as determined by the neurovascular team (A)
- h All patients should be monitored for the development of treatable complications, especially hydrocephalus, cerebral ischaemia, electrolyte imbalance and hypotension (D)
- i Any patient with residual impairment after investigation and treatment should be referred to an appropriate specialist rehabilitation service (B)
- j All surviving patients should be advised on secondary prevention, especially on treatment for hypertension and the need to stop smoking (A)
- k Patients with a strong family history (one other affected first degree relative besides themselves and/or with a history of polycystic kidney disease) should be advised that their family may be at greater risk of subarachnoid haemorrhage and a referral made to a neurovascular specialist for up-to-date information and advice (B)

---

**Evidence** (Tables 3.4.5a and b)

The evidence regarding the use of drugs and hypervolaemic agents is mainly negative apart from that for calcium antagonists. Referral for early surgery has some supporting evidence.

- a Linn 1994 showed subarachnoid haemorrhage was the cause in 25% of cases reviewed retrospectively who presented with severe headache to their GP (II)
- b i) Consensus of working party (IV)
  - ii) UK National External Quality Assessment Scheme for Immunochemistry 2003; Royal College of Radiologists 2003

- c Consensus of working party. A CT scan is more sensitive than MRI for detecting blood in the acute stage (IV)
- d i) Rinkel *et al* 2004; Barker and Ogilvy 1996; calcium antagonists reduce cerebral ischaemia following SAH, but long-term benefit is proven for nimodipine only. (Ia)  
ii) Lindsay 1987; Roos *et al* 2004; There is no present evidence to recommend routine use of antifibrinolytics – rebleeding may be reduced, but the benefit offset by an increase in cerebral ischaemia (Ia). iii) Consensus of working party (IV)
- e Consensus of working party (IV)
- f Extrapolation from Whitfield & Kirkpatrick 2004; de Gans *et al* 2002; Roos *et al* 1997 (Ia). Suggestion that early surgery improves outcome
- g International Subarachnoid Aneurysm Trial Collaborative 2002; no firm evidence regarding timing of coil embolisation (Ia)
- h Consensus of working party (IV)
- i Extrapolation from Stroke Unit Trialists' Collaboration 2004 (Ia)
- j Tables 3.5.1–3.5.7 demonstrate the effectiveness of secondary prevention strategies after stroke
- k Table 3.4.5b, Crawley *et al* 1999; The Magnetic Resonance Angiography in Relatives of Patients with Subarachnoid Haemorrhage Study Group (MARS) 1999; Kissela *et al* 2002 (III)

### 3.5 Secondary prevention

Patients who have suffered a stroke remain at an increased risk of a further stroke of between 30% and 43% within five years (Mant *et al* 2004). The risk of completing a stroke after a TIA may be as high as 20% within the first month. Patients with TIA and stroke also have an increased risk of myocardial infarction and other vascular events. The risk of further stroke is highest early after stroke or TIA. Therefore there should be a high priority given to rapid delivery of evidence-based secondary prevention.

These guidelines apply to all patients with TIA and stroke, even those not admitted to hospital.

---

#### Recommendations

- a An individualised strategy for stroke prevention should be implemented within a maximum of seven days of acute stroke or TIA (B\*)

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#### Evidence (Table 3.5.1)

- a Lovett *et al* 2003; Coull *et al* 2004 (II)

### 3.5.1 Lifestyle

#### Recommendations

- a All patients should be given appropriate advice on:
  - i stopping smoking (B\*)
  - ii regular exercise (D\*)
  - iii diet and achieving a satisfactory weight (B\*)
  - iv reducing the intake of salt (B\*)
  - v avoiding excess alcohol (D\*)

#### Evidence (Table 3.5.1)

- a i Silagy *et al* 2004; Lancaster 2004; Rice & Stead 2004 (Ia)
- ii Consensus of working party (IV)
- iii Mulrow *et al* 2004 showed a reduction in blood pressure for those who reduced body weight (Ia); Hooper *et al* 2004 (Ia) showed a potentially important reduction in cardiovascular risk for a reduction in fat intake
- iv Jurgens *et al* 2004 showed reduced salt in the diet can marginally lower blood pressure in hypertensive people, but the effect may not be long term (Ia)
- v Consensus of working party (IV)

*Patient's view: I don't see why, I still can't understand why I got [stroke] because I didn't really abuse my life too much. I eat reasonable. I drink reasonable. Why should I get it? ... I did smoke. I gave up the day before I had the stroke. How's that for timing? (68 year old woman)*

### 3.5.2 Blood pressure

#### Recommendations

- a All patients should have their blood pressure checked, and high blood pressure persisting for over two weeks should be treated. The British Hypertension Society guidelines are: In non-diabetic people with hypertension the optimal blood pressure treatment goals are systolic blood pressure <140 mmHg and diastolic blood pressure <85 mmHg; for patients with diabetes mellitus and high blood pressure the optimal goals of control are 130/80 (A\*)
- b Further reduction of blood pressure should be undertaken using a thiazide diuretic (eg indapamide or bendrofluazide) or an ACE inhibitor (eg perindopril or ramipril) or preferably a combination of both, unless there are contraindications (A)



**Evidence** (Table 3.5.2)

- a Williams *et al* (British Hypertension Society guidelines) 2004 (IV, but based on up-to-date evidence of RCTs)
- b Post Stroke Antihypertensive Treatment Study collaborative group 1995; Heart Outcomes Prevention Evaluation (HOPE) 2000a,b; PROGRESS 2001 (Ib)

### 3.5.3 Anti-thrombotic treatment

---

**Recommendations**

- a All patients with ischaemic stroke or TIA who are not on anticoagulation should be taking an antiplatelet agent, ie aspirin (50–300 mg), daily, (A\*) or clopidogrel, or a combination of low-dose aspirin and dipyridamole modified release (MR). Where patients are aspirin intolerant an alternative antiplatelet agent (eg clopidogrel 75 mg daily or dipyridamole MR 200 mg twice daily) should be used (A\*)
  - b Anticoagulation should be started in every patient with persistent or paroxysmal atrial fibrillation (valvular or non-valvular) unless contraindicated (A\*)
  - c Anticoagulants should not be used for patients in sinus rhythm (A\*) unless there is a major source of cardiac embolism (D)
  - d Anticoagulants should not be started until brain imaging has excluded haemorrhage, and usually not until 14 days have passed from the onset of an ischaemic stroke (A)
- 

**Evidence** (Table 3.5.3)

- a Antithrombotic Trialists' Collaboration 2002 (Ia); Bhatt *et al* (CAPRIE) 2000; Diener *et al* (ESPS 2) 1996 (Ib)
- b Segal *et al* 2000; Hart *et al* 1999 (Ia)
- c Stroke Prevention in Reversible Ischaemia Trial (SPIRIT) 1997; Sandercock *et al* 2004 (Ia)
- d Gubitz *et al* 2004; Sandercock *et al* 2004; Koudstaal 2004a,b; Berge *et al* 2000 (Ia)

### 3.5.4 Antilipid agents

---

**Recommendation**

- a Treatment with a statin (eg 40 mg simvastatin) should be given to patients with ischaemic stroke or TIA, and total cholesterol of >3.5 mmol/L unless contraindicated (A)
- 

**Evidence** (Tables 3.5.4)

- a Hebert *et al* 1997; (Ia) Heart Protection Study Collaborative Group 2002a (Ib)

### 3.5.5 Hormone replacement therapy

#### Recommendation

- a The decision whether to start or continue hormone replacement therapy (HRT) should be discussed with the individual patient and based on an overall assessment of risk and benefit (A)

#### Evidence (Table 3.5.5)

- a Viscoli *et al* 2001; Simon *et al* 2001 (1b). The evidence suggests an increased risk of stroke for those on HRT

### 3.5.6 Carotid stenosis

#### Recommendations

- a Any patient with a carotid artery territory stroke but without severe disability should be considered for carotid endarterectomy (A)
- b Carotid endarterectomy should be considered where carotid stenosis is measured at greater than 70% as measured using the ECST methods, and 50% as measured using the NASCET methods (A)
- c Carotid duplex ultrasound should be performed on all patients being considered for carotid endarterectomy and confirmed with magnetic resonance angiography (MRA) or with a second ultrasound (B)
- d Carotid endarterectomy should be performed as soon as the patient is fit for surgery, preferably within 2 weeks of TIA (D)
- e Carotid endarterectomy should only be undertaken by a specialist surgeon in centres where outcomes of carotid surgery are routinely audited (B)
- f Carotid angioplasty or stenting is an alternative to surgery but should only be carried out in specialist centres where outcomes of these techniques are routinely audited (B)

#### Evidence (Table 3.5.6)

- a Cina *et al* 2004; Rothwell *et al* 2003a,b (1a)
- b Young *et al* 1996; Wardlaw *et al* 2001; Westwood *et al* 2002 (1a)
- c Rothwell *et al* 2003 (1a); North American Symptomatic Carotid Endarterectomy Trial Collaborators (NASCET) 1998; European Carotid Surgery Trialists (ECST) 1998 (1b)
- d Rothwell *et al* 2004 showed the greatest benefit was from early surgery, and declined to little or no benefit if surgery was delayed beyond 12 weeks (1a)

- e Moore *et al* 1996; Cina *et al* 2004 (Ia)
- f CAVATAS Investigators 2001 (Ib). Both the endovascular and the surgical group had an equally bad complication rate of 10% compared to the endarterectomy trials with 6% to 8% complication rates. Either the patient group entered into the trial were at greater risk than in the previous studies or the complication rates for endovascular treatment are excessively high. More research is required and any patients should be entered into further trials.

### *Local guidelines for secondary prevention*

Local guidelines for secondary prevention will need to specify local policies for:

- 1 antiplatelet treatments, taking into consideration the cost implications of implementing routine use of agents other than aspirin;
- 2 referral to specialist vascular surgeons for carotid surgery;
- 3 controlling anticoagulation;
- 4 dietary advice and follow-up;
- 5 smoking cessation therapy, including nicotine replacement therapy.

### **3.5.7 Concordance with secondary prevention strategies**

After stroke most patients will be prescribed one or often more drugs to reduce their risk of future stroke. These will only be effective if taken. Specific problems after stroke may include difficulties in opening containers due to loss of hand function, and difficulties secondary to focal (eg aphasia, neglect) or general cognitive losses.

---

### **Recommendations**

- a All patients should receive verbal and written information about their medicines in a format appropriate to their needs. This should include information about the reason for the medication, how and when to take it and any possible common side effects (B)
  - b Every attempt should be made to ensure concordance with secondary prevention strategies, eg with appropriate compliance aids such as large-print labels, non-child-proof tops (C)
  - c Patients who need or request it should be supplied with an appropriate compliance aid according to their level of manual dexterity, cognitive impairment and personal preference and compatible with safety in the home environment (B)
-

**Evidence** (Table 3.5.7)

- a Extrapolation from Haynes *et al* 2004 (Ia)
- b International Pharmaceutical Federation Statement on Professional Standards 2003; consensus of working party (IV)
- c Extrapolation from Haynes *et al* 2004 (Ia)

**Local guidelines**

Local policies will need to be specified for:

- 1 organisation of pharmacy services on the wards and for patients after discharge from hospital;
- 2 effective communication of prescribing information between primary and secondary care;
- 3 services to assist frail people to obtain and take prescribed medication;
- 4 referral to smoking cessation services.

### 3.6 Multidisciplinary assessment: rehabilitation referral

There is no evidence to support particular selection criteria for more active rehabilitation or admission to a stroke unit (Wade 2003). If anything, those with more severe stroke have been shown to have the most to gain from it (Stroke Unit Trialists' Collaboration 2004).

---

**Recommendations**

- a All patients should be referred to a specialist rehabilitation team as soon as possible after admission (A\*)
  - b A multidisciplinary assessment using a formal procedure or protocol should be undertaken and documented in the notes within five working days of admission (D)
  - c The protocol should include assessment of the following in addition to those assessments completed within the first 24 hours of admission (Section 3.2.1, 'Initial screening and monitoring'):
    - i screening for cognitive impairment, using a validated clinical method (D)
    - ii nutritional status, using a validated method, to be undertaken by appropriately trained personnel (B)
    - iii assessment for problems with communication (D)
    - iv self-care (C)
-

### **Evidence** (Table 3.6)

Much of the evidence does not relate specifically to stroke.

- a Stroke Unit Trialists' Collaboration 2004; this evidence is very strong (see Section 2.1.1) in general, though not on the timing (Ia)
- b Consensus of working party (IV)
- c i) Consensus of working party (IV); ii) Gariballa *et al* 1998; FOOD Trial Collaboration 2003 both show importance of nutritional status for outcome (III); iii) Consensus of working party (IV); iv) National Association of Neurological Occupational Therapists 2002

### **Local guidelines**

There should be local agreement on:

- 1 who will do assessments, and how they are to be contacted;
- 2 the protocol or procedures, and care pathways, to be used;
- 3 who should be referred to whom, how, and when.

## **3.7 Swallowing, feeding and nutrition: assessment and management of dysphagia and nutrition**

Oropharyngeal dysphagia, an abnormality in swallowing fluids or food, is common in patients with stroke. Incidence of dysphagic symptoms varies according to the manner and timing of evaluation, ranging from 64 to 90%, with aspiration rates (including silent aspiration) ranging from 22 to 42% on videofluoroscopy evidence. The presence of aspiration may be associated with an increased risk of developing pneumonia after stroke.

Malnutrition is also common, being present in about 15% of all patients admitted to hospital, and increasing to about 30% over the first week. Malnutrition is associated with a worse outcome and a slower rate of recovery.

---

### **Recommendations**

- a Patients presenting with features indicating dysphagia and/or risk of pulmonary aspiration should receive a full clinical assessment of swallowing by an appropriately trained specialist who should also advise on safe swallow and consistency of diet and fluids (A)
- b Any patient who appears to have persistent abnormalities of the pharyngeal phase of swallowing should be considered for an instrumental investigation to allow visualisation of the pharynx, ie videofluoroscopy or flexible endoscopic evaluation of swallowing (FEES) (C)

- c Every patient should have his/her nutritional status screened by appropriately trained personnel, using a valid nutritional screening method, within 48 hours of admission (B)
- d Nutritional and hydration support should be considered in any patient with malnutrition or difficulties feeding (B)
- e Enteral feeding tubes should be considered where patients are unable to maintain adequate nutrition orally (A)
- f Every patient with nutritional problems, including dysphagia, who requires food of modified consistency should be referred to a dietitian (C)
- g Patients' needs should be assessed for the most suitable posture and equipment to help them with feeding (D)
- h Patients with feeding and swallowing difficulties should have medication supplied in an appropriate formulation (D)
- i All patients should have their mouth kept clean and free of infection to minimise complications and promote patient comfort (D)
- j The need for enteral feeding should be kept under review and the tube removed when no longer required (D)

---

**Evidence** (Tables 3.7a–c)

The evidence includes randomised trials, but some of the guidelines are extrapolating from the evidence.

- a Logemann *et al* 1999; Langmore *et al* 1998; Royal College of Speech and Language Therapists guidelines 2004
- b There is evidence that standardised clinical bedside assessment protocols increase the sensitivity and specificity in identifying the nature of oropharyngeal swallowing problems. However, silent aspiration is most reliably identified by videofluoroscopy or Flexible Endoscopic Evaluation of Swallowing (FEES). Splaingard *et al* 1988; Langmore *et al* 1991; Lim *et al* 2001; Leder & Espinosa 2002
- c Extrapolation from evidence that poor nutritional status after stroke relates to clinical outcome. Davalos *et al* 1996; Gariballa *et al* 1998; FOOD Trial Collaboration 2003 (IIa)
- d Potter *et al* 1998. A meta-analysis showing probable benefit of improving nutrition in malnourished patients, but not specifically for patients with stroke (Ia)
- e Bath and Smithard 2004 (Ia). Too few studies have been performed, and these have involved too few patients. PEG feeding may improve outcome and nutrition as compared with NGT feeding
- f Penman & Thomson 1998; Royal College of Speech and Language Therapists & British Dietetic Association 2003 (IV)

- g Consensus of working party (IV)
- h Consensus of working party (IV)
- i Consensus of working party (IV)
- j Consensus of working party (IV)

### **Local guidelines**

Local services should agree:

- 1 the standardised initial screening assessment of swallowing, and the training of nursing, therapeutic and medical staff in its use;
- 2 the use of videofluoroscopy FEES and enteral feeding: areas with resource and ethical implications;
- 3 how and when malnutrition and dehydration should be diagnosed and managed;
- 4 the training of staff in the management of patients with swallowing problems;
- 5 descriptors of texture modification of solids and fluids (Royal College of Speech and Language Therapy & British Dietetic Association 2002).

---

*Carer's view: My husband was having tube feeding – for weeks it was going on – and the only reason they gave that he was still on tube feeding was that they couldn't get a speech therapist to look at him.*

*Carer's view: When she came out of hospital D– was four and a half stone. Pathetic. Couldn't hold a spoon, couldn't do nothing.... Me and the boy [their son] got on with it as best we could.*

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## **3.8 Prevention of complications**

### **3.8.1 Positioning and support interventions**

Positioning of stroke patients and provision of appropriate seating may prevent the development of contractures, pain, skin breakdown and respiratory complications.

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#### **Recommendations**

- a Staff should position patients, whether lying or sitting, to minimise the risk of complications such as aspiration, respiratory complications, shoulder pain, contractures, (see section 3.2.1) and pressure sores (B)
  - b Intermittent compression should not be used routinely for a swollen hand (A)
-

**Evidence** (Tables 3.8.1)

There are few studies relating to positioning. Positioning improves with formal teaching. It has been observed that patients in stroke units are more likely to be in recommended positions.

- a Carr & Kenney 1992; Lincoln *et al* 1996; Jones *et al* 1998; Cullum *et al* 2004 (Ia); NICE 2001, *Guidelines on pressure sore risk assessment and prevention* (IV)
- b Roper *et al* 1999 (Ib) The only trial of intermittent pneumatic compression in treatment of oedema of the hand. Small but well conducted, it showed no difference in hand volume between treatment and controls

**Local guidelines**

Local guidelines need to specify:

- ▶ locally agreed procedures for positioning and use of specialist equipment.

**3.8.2 Venous thromboembolism**

Venous thromboembolism often occurs within the first week of a stroke, and most often in immobile patients with paralysis of the leg, but its impact after stroke is still unclear. Studies using radio-labelled fibrinogen leg-scanning suggest that deep venous thrombosis (DVT) occurs in up to 50% of patients with hemiplegia but clinically apparent DVT probably occurs in fewer than 5%. Similarly, although autopsy series have identified pulmonary embolism (PE) in a large proportion of patients who die, clinically-evident PE occurs in only 1–2% of patients.

**Recommendations**

- a Aspirin (50–300 mg daily) should be given according to the guidance in the recommendations in 3.5.3 on antithrombotic treatment (A)
- b Compression stockings should be applied in stroke patients with weak or paralysed legs (once the patient's peripheral circulation, sensation and the state of the skin have been assessed) (B)
- c Mobilisation and optimal hydration should be maintained as far as possible from the outset (D)
- d Prophylactic anticoagulation should not be used routinely (cf 3.5.3) (A)

**Evidence** (Tables 3.8.2)

- a Antiplatelet Trialists' Collaboration 1994; Chinese Acute Stroke Trial Collaborative Group 1997; International Stroke Trial Collaborative Group 1997; post hoc analyses show a reduced incidence of DVT in patients given aspirin (Ib)



- b Amaragiri & Lees 2004 (Ia). Compression stockings reduce the incidence of DVT peri-operatively by 68%, and may be helpful after stroke, though no large RCTs have evaluated their use in stroke (Ib)
- c Consensus of working party (IV)
- d Gubitz *et al* 2004; Sandercock *et al* 2004; anticoagulants reduce the incidence of venous thromboembolism but increase the risk of cerebral haemorrhage (Ia)

### **Local guidelines**

Local guidelines need to consider:

- 1 protocols for assessment of peripheral circulation and criteria for use of compression stockings;
- 2 protocols for early mobilisation;
- 3 protocols for hydration from stroke onset.

## **3.9 Bladder and bowel management**

Most patients with moderate to severe stroke are incontinent of faeces and urine at presentation, and many are discharged incontinent. Bladder training may establish continence in hospital, but cannot always be sustained by carers at home. Incontinence is a major burden on carers once the patient is discharged home. Management of both bladder and bowel problems should be seen as an essential part of the patient's rehabilitation, as they can seriously hamper progress in other areas.

---

### **Recommendations**

- a All wards and stroke units should have established assessment and management protocols for both urinary and faecal incontinence and constipation (B)
- b Continence services should cover both hospital and community, to provide continuity of care (C)
- c All nurses and doctors should be able to assess incontinent patients, and know who to contact for support and advice (D)
- d There should be active bowel and bladder management from admission (B)
- e Indwelling urinary catheters should be used only after alternative methods of management have been considered, in accordance with NICE guidelines (C)
- f Further tests (urodynamics, anorectal physiology tests) should be considered when incontinence persists (B)

- g Incontinent patients should not be discharged from hospital until adequate arrangements for continence aids and services have been arranged at home and the carer has been adequately prepared (C)
- h In selecting equipment, factors to consider include ease of putting on, appearance, and comfort (B)
- i Sexual function should be considered, particularly the potential problems associated with an indwelling catheter (D)

### *Evidence (Table 3.9)*

The evidence to date relates more to general continence management, with little specific to stroke.

- a Royal College of Physicians 1995, 1998; Wikander *et al* 1998 (III); Langhorne & Pollock 2002; Barrett 2002
- b Department of Health 2000 (IV)
- c Consensus of working party (IV)
- d Extrapolation from Cochrane reviews which show: inconclusive evidence for prompted voiding (Eustice *et al* 2004); bladder training may be helpful (Wallace *et al* 2004); it is not possible to recommend any particular intervention for bowel care in neurological disease (Coggrave *et al* 2004) (Ia)
- e National Institute for Clinical Excellence *Guideline for preventing healthcare-associated infections in primary and community care* 2003 (p7–9) (IV)
- f Glazener *et al* 2004 (Ia)
- g Department of Health 2000 (IV)
- h Extrapolation from Brazzelli *et al* 2004 (1a)
- i Consensus of working party (IV)

### *Local guidelines*

Local guidelines need to specify:

- 1 the specialist continence advisory service, who the continence advisor is and who should be referred;
- 2 assessment and management protocols for patients with urinary and faecal incontinence;
- 3 levels of specialist training in continence management for nurses involved in the care of stroke patients;

- 4 what incontinence equipment will be provided, by whom, and who will pay for it once the patient goes home;
- 5 how to maintain continence at home.

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*Patient's view: You go into a toilet and say to the nurse, 'Will you come in with me and wipe my backside?' You know, it's, it's horrible – absolutely terrible feeling. (73 year old man)*

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## 4 Rehabilitation

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Rehabilitation starts from the onset of stroke and may need to continue for a very long time. It needs to be considered in all settings, not just that of the hospital.

### 4.1 Psychological impairment

#### 4.1.1 *Mood disturbance: depression, emotionalism, anxiety*

Disturbance of mood is common after stroke. Diagnosis of an abnormal mood state is difficult, particularly in the presence of speech disturbance. Diagnostic separation is also difficult, especially as different abnormalities may coexist. Treatments may include antidepressant medication or psychological therapy, such as cognitive behavioural therapy.

Symptoms suggestive of depression are common after stroke. Symptoms include crying, feeling miserable or hopeless, lack of motivation, loss of appetite, and reduced social activities.

Crying is not uncommon after stroke. If it appears to arise with minimal provocation the patient may be experiencing emotionalism and impairment in the control of crying (and, more rarely, laughing).

Feelings of fear and worry are also common after stroke. Anxiety is an unpleasant, uncontrollable affect of fear or apprehension often accompanied by physical symptoms, such as breathlessness, palpitations and trembling. After stroke it may be provoked by situations, such as a fear of falling when transferring on to a toilet, or fear of meeting people, or it can be a generalised anxiety disorder not linked to any specific provocation. Anxiety may be associated with post-traumatic stress disorder, when factors may be intrusive memories or flashbacks to the time of the stroke. It varies in its severity and thereby its impact on function. Some people may cope with anxiety disorder by avoiding the provocation. Treatments may include pharmacological or psychological therapies.

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#### *Recommendations*

- a Patients should be given information, advice and the opportunity to talk about the impact of illness upon their lives (B)
- b Patients' psychological and social needs should be assessed (C)
- c Patients should be screened for depression and anxiety within the first month of stroke, and their mood kept under review. In those patients who can respond to it, a standardised

questionnaire may be used for screening, but any clinical diagnosis should be confirmed by clinical interview, during which the interviewer should attempt to find whether there is suicidal thinking (D)

- d Emotionalism after stroke should be confirmed at clinical interview (B)
- e Any patient diagnosed with one form of mood disorder should be assessed for the others (B)
- f Patients with severe, persistent or troublesome tearfulness (emotionalism) should be given antidepressant drug treatment, monitoring the frequency of crying to check effectiveness (A)
- g Patients with minor depression should be managed by 'watchful waiting', treatment only being started if the depression is persistent. More severe or already persistent depression should be considered for a trial of antidepressant medication (A) or psychological therapy, given by an appropriately trained and supervised practitioner (B)
- h Antidepressants should be continued for at least six months if a good response has been achieved, with the treatment kept under review (D)
- i Antidepressant medication should not be used routinely to prevent the onset of depression or improve other outcomes (A)
- j Patients with marked anxiety should be offered psychological therapy, given by an appropriately trained and supervised practitioner (B)
- k Patients with marked anxiety should be considered for a trial of antidepressant medication or benzodiazepines (B). Prescribers should use the committee on safety of medicines (CSM) guidelines on the use of benzodiazepines, reproduced in the BNF (C)
- l Mood disorder that is causing persistent distress or worsening disability should be managed by or with advice from an experienced clinical psychologist or psychiatrist (D)

---

**Evidence** (Table 4.1.1a and b)

- a Evans *et al* 1988 (III)
- b College of Health report (Kelson *et al* 1998) identified the widespread need for support. Consensus of working party (IV)
- c Consensus of working party (IV)
- d Allman *et al* 1992 (III)
- e Schultz *et al* 1997 (III)
- f Brown *et al* 1998; Andersen *et al* 1993 (Ib) House *et al* 2004 (Ia)
- g Lipsey *et al* 1984; Andersen *et al* 1994; Churchill *et al* 2001; Kimura *et al* 2000 (Ib). National Institute for Clinical Excellence 2004

- h Consensus of working party (IV)
- i Anderson *et al* 2004 (Ia)
- j Gould *et al* 1997; van Balkom *et al* 1997 (Ia)
- k Extrapolation from Gould *et al* 1997; van Balkom *et al* 1997 (Ia); British National Formulary
- l Consensus of working party (IV)

### Local guidelines

Local services will need to agree:

- 1 who will give expert opinion and how services will be accessed;
- 2 which drugs should be kept on the formulary for use in post-stroke mood disturbance.

---

*Patient's view:* You get so emotional when you think about it. When they took me down the physio and they, both of them held me up and said, 'Come on, Bill, you can walk' – and I get emotional to know that you can move your leg. I just had a little bit of movement in my fingers then, that's all I could do just then and now I've got all that. (73 year old man)

*Carer's view:* We don't know what's going on in his brain. And now he's so emotional. He cries for little, little things. He just starts crying all the time.

---

## 4.2 Cognitive impairment

Stroke can disrupt a wide range of cognitive processes. This may result in impairments such as neglect/inattention or apraxia/dyspraxia, and in difficulties with attention, memory and executive functioning (described below). Cognitive impairments may adversely affect a person's ability to participate in therapy, perform activities of daily living and live independently. They may be confusing and distressing for patients and carers. Staff should be aware that several types of cognitive impairment may occur together in one individual.

Five impairment-specific guidelines are provided (4.2.2–4.2.6), and follow the initial sections on general and local guidelines. Pharmacological interventions for cognitive impairment are not reviewed. There are no impairment-specific guidelines on perception. The impact of perceptual disorders on activities of daily living (ADL) is varied. It can range from difficulty crossing the road (due to an impairment of distance perception) to an inability to recognise a person's face (such as a spouse). A Cochrane systematic review of perceptual disorders was in progress at the time of going to press.

### 4.2.1 General guidelines for all cognitive impairments

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#### Recommendations

- a All patients should be screened for the presence of cognitive impairments as soon as is practicable. The nature of the impairment should be determined, and its impact on activity and participation should be explained to patients, carers and staff (D)
- b Those with difficulty on screening, and anyone not progressing as expected in rehabilitation, should have a detailed cognitive assessment (D)
- c All members of the multidisciplinary team should take into consideration the patient's cognitive status when planning and delivering treatment (D)
- d Plans should be modified as patients improve, and session demands gradually increased to maintain motivation (D)
- e Planning for discharge from hospital should include an assessment of any safety risks from persisting cognitive impairments (D)

---

#### Evidence (No table)

a–e Consensus of working party (IV)

#### Local guidelines

Local services must identify:

- 1 experienced personnel (therapists or psychologists) for specialist assessment and rehabilitation;
- 2 staff training needs.

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*Carer: There's no emotional back up, but it's all very clinical, you know... It's all to do with physiotherapy and speech but they forget the other side of it.*

---

### 4.2.2 Spatial awareness (neglect/inattention)

Stroke, especially within the right hemisphere, may affect a person's awareness of the space around them (usually on the left), and the space occupied by their body. For example, they may not be fully aware of (or 'neglect') their left arm, or fail to attend to things that are positioned in space on their left (eg a dining fork). Patients often fatigue easily.

---

### Recommendations

- a For every patient with impaired spatial awareness, nursing and therapy sessions (eg for shoulder pain, postural control, feeding) will need to be modified to cue attention to the impaired side (D)
  - b Patients with a persisting, disabling impairment should receive therapy for their neglect/inattention using techniques such as cueing, scanning, limb activation, aids and environmental adaptations (B)
- 

### Evidence (Table 4.2.2)

- a Consensus of working party (IV)
- b Bowen *et al* 2004 (Ib), evidence downgraded as meta-analysis showed benefits on impairment but not disability/participation level measures; Edmans *et al* 2000 (II); Robertson *et al* 2002 (Ib)

### 4.2.3 Memory

Stroke may affect memory in several ways. Patients may have difficulty learning new information (eg names) or skills, or remembering or retrieving this information. Remembering to do something in the future (prospective memory) may also be impaired. Memory for visual and verbal information may be differentially affected and be responsive to different therapy techniques.

---

### Recommendations

People with memory difficulties should:

- a have their profile of impaired and preserved memory abilities determined, and nursing and therapy sessions should use techniques which capitalise on preserved abilities, eg visualisation *vs* verbalisation (D)
  - b be taught compensatory techniques to reduce their disabilities, such as using notebooks, diaries, audiotapes and electronic organisers. Auditory alarms may be particularly helpful in prompting action, such as taking medication (B)
  - c be taught approaches aimed at directly improving their memory, but the evidence to date is inadequate to support the use of ‘strategy training’ over ‘repetition’ (B)
  - d be facilitated to engage actively in rehabilitation, as this may improve episodic memory (A)
-



**Evidence** (Table 4.2.3)

- a Consensus of working party (IV)
- b Wilson *et al* 2001 (Ib), evidence extrapolated from a mixed aetiological sample
- c Majid *et al* 2004 (Ib), evidence and recommendation downgraded as only one small trial in meta-analysis
- d Rose *et al* 1999 (Ib)

**4.2.4 Attention**

Attentional impairments are probably the most pervasive cognitive deficits following stroke, especially in the first few weeks and in those with right hemisphere stroke. Attentional processing is an essential prerequisite for many cognitive and motor functions, and impairments may affect other, unimpaired processes.

---

**Guidelines**

People who appear easily distracted or unable to concentrate:

- a should have their ability to focus, sustain and divide attention formally assessed (D)
- b require careful planning of nursing and therapy sessions to minimise the attentional demands placed on them, eg they need to work for short periods, take rest breaks, and avoid background visual/auditory distractions or times when tiredness is most likely (D)
- c should receive therapy (eg computerised practice) to improve alertness and the ability to sustain attention (B)

---

**Evidence** (Table 4.2.4)

- a Consensus of working party (IV)
- b Consensus of working party (IV)
- c Lincoln *et al* 2004 (Ib), evidence and recommendation downgraded as only two small trials in meta-analysis and only benefits on impairment but not disability/ participation level measures

**4.2.5 Praxis**

Apraxia/dyspraxia is a disorder of skilled voluntary movement that is not due to a sensory or motor impairment. People with apraxia may be unable to perform a skilled activity (eg dressing) due to an impaired conceptual ability to organise the actions required. Apraxia occurs after both left and right hemisphere stroke but is more common after left. Patients can perform some actions automatically but not under voluntary control. Assessment is difficult especially when left hemisphere stroke produces both apraxia and impaired communication. (This section excludes articulatory dyspraxia, which is covered in 4.3).

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### Recommendations

- a Patients demonstrating difficulties using everyday objects or carrying out activities should be assessed for the presence of apraxia jointly with a speech and language therapist if any communication difficulty is present (D)
  - b Assessors must distinguish between impairments in voluntary versus automatic actions. Assessments should elicit novel, sequential, voluntary movements and consider the impact of different input routes (verbal, visual, tactile) (D)
  - b Patients with apraxia should be trained in the use of internal or external strategies eg verbalisation and following a written/pictorial action sequence (A)
- 

### Evidence (Table 4.2.5)

- a Consensus of working party (IV)
- b Consensus of working party (IV)
- c Donkervoort *et al* 2001 (Ib)

#### 4.2.6 Executive function

Executive functioning impairments may occur following a stroke, especially when the frontal lobes are affected. Executive functions have an organising role on the initiation and inhibition of behaviour. A person's ability to plan, to problem-solve and to self-monitor may be impaired. These cognitive impairments can also have a striking effect on a person's social behaviour. An inability to behave appropriately in public can lead to social isolation and loneliness among people with executive impairments.

---

### Recommendations

- a People with impaired executive functions should be taught compensatory techniques, such as using electronic organisers/pagers or written checklists, to increase their ability to perform daily activities (B)
  - b When a patient's behaviour is influenced by executive dysfunction the situation should be discussed with the patient, family, staff and others involved (D)
- 

### Evidence (Table 4.2.6)

- a Wilson *et al* 2001 (Ib), evidence extrapolated from a mixed aetiological sample
- b Consensus of working party (IV)

### 4.3 Communication: aphasia, dysarthria and articulatory dyspraxia

Stroke can affect communication in different ways. The patient may have impaired motor speech production (dysarthria) or impaired planning and execution of motor speech (articulatory dyspraxia) resulting in changes to their intelligibility. They may have impaired language skills (aphasia or dysphasia) resulting in difficulties in generating or understanding words, reading and/or writing. The patient may have subtle communication problems due to language impairment associated with non-dominant hemisphere stroke. Accurate diagnosis is essential to guide and inform the team and the family.

---

#### Recommendations

- a Every patient with a dominant hemisphere stroke should be assessed for speech and language difficulties by a speech and language therapist using a reliable and valid method (B)
- b If the patient has aphasia, the staff and relatives should be informed and trained by the speech and language therapist about communication techniques appropriate to the communication disability (A)
- c Where achievable goals can be identified, and continuing progress demonstrated, patients with communication disabilities should be offered appropriate treatment, with monitoring of progress (C)
- d Patients with aphasia should have their suitability for intensive speech and language therapy assessed by a speech and language therapist. The trials suggest that the speech and language therapy should be for between two and eight hours a week (B)
- e For patients with long-term aphasia, a period of speech and language therapy intervention, including group communication treatment, should be considered (A)
- f Any patient with severe communication disability but reasonable cognition and language should be assessed for and provided with appropriate alternative or augmentative communication aids (D)

---

#### Evidence (Table 4.3)

The table shows evidence for interventions currently evaluated for speech and language disorders: assessment; treatment by therapists or volunteers; and computers. There are also many well-designed single-case studies not included in the table – a list may be obtained from Speakability, a national charity for people with aphasia. Impairment-based therapies and compensatory management strategies for dysarthria cannot presently be supported or refuted by good quality, clinically controlled trials (Sellars *et al* 2004). Other sources of evidence will therefore need to be considered.

- a Royal College of Speech and Language Therapists 2002 (IV); David *et al* 1982 (IIb) – one of several studies suggesting a specific benefit from assessment

- b Kagan *et al* 2001 (Ib); Whurr *et al* 1992 (III); Robey 1998 (II) – reviews that suggest effects of treatment, but not all studies were RCTs; Greener *et al* 2004 (Ia) – this meta-analysis of RCTs suggests that treatment is neither shown to be effective or ineffective
- c Royal College of Speech and Language Therapists 2002 (IV)
- d Robey 1998 (Ib); Bhogal *et al* 2003 (Ia)
- e Katz & Wertz 1997 (Ib); Elman & Bernstein 1999 (Ib)
- f Consensus of working party (IV)

### Local guidelines

Local guidelines need to specify:

- 1 the methods to be used by appropriately-trained practitioners to screen patients for, and with, disordered communication;
- 2 assessments and measures to be used by local speech and language therapists;
- 3 the local options for routine and specialist or intensive treatments, including setting, the availability and flexibility of treatment packages, and how these services are accessed;
- 4 eligibility for, access to, and funding of communication aids and the provision of training to enhance communication with patients with communication difficulties available for healthcare and related practitioners.

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*Dysphasic patient's view: It's not only speech that [helps] people like us, it's building up people's confidence, it's getting them to re-join life again...*

*Dysphasic patient's view: They're too gentle with us really and they should be tougher with us if they're going to improve your conversation, improve everything about you.*

---

## 4.4 Motor impairment

### 4.4.1 Improving motor control: conventional treatment

See also section 2.4.

The aim of conventional therapeutic approaches is to increase physical independence through the facilitation of motor control and skill acquisition. Guidelines relating to conventional therapy approaches are presented in section 2.4. There is strong evidence to support the effect of rehabilitation in terms of improved functional independence and reduced mortality. It is important to note that some of these options such as treadmill training and robotic-assisted therapy are costly options in terms of resources with little evaluation of effects on longer-term function, activity and participation.

---

### Recommendations

- a Patients should be assessed by a physiotherapist within 72 hours of admission (C)
  - b A physiotherapist with expertise in neurodisability should coordinate therapy to improve movement performance of patients with stroke (C)
  - c Intensive therapy for the upper limb should be considered to improve arm function in patients with mild impairment (A)
  - d Bilateral arm training may improve motor performance of the upper limb (B)
  - e Gait re-education techniques to improve walking ability based on recognised therapy approaches should be offered (B)
  - f Walking sticks should be considered to increase standing stability in patients with severe disability (B)
  - g For the specific objectives of improving reaching for objects and increasing walking speed, a task-specific approach should be used rather than an impairment-focused approach (B)
- 

### Evidence (Table 4.4.1 a–d)

- a,b Association of Chartered Physiotherapists Interested in Neurology (ACPIN) 1995 (IV)
- c Van der Lee *et al* 2001 (Ia); Parry *et al* 1999 (Ib); Platz *et al* 2001a,b (Ib)
- d Cunningham *et al* 2002a (IIb); Whitall *et al* 2000 (IIb); Mudie & Matyas 1996 (III)
- e Wall & Turnbull 1987; Richards *et al* 1993; Green *et al* 2002 (Ib)
- f Tyson & Ashburn 1994; Lu *et al* 1997; Tyson & Thornton 1998, 2001; Laufer *et al* 2001. There are only a small number of studies in this area, using small sample sizes. What there are show that using a walking aid does not encourage the patient to favour the lower unaffected limb (III)
- g i) Nelson *et al* 1996; Trombly & Wu 1999; Wu *et al* 2000; Dean *et al* 2000; Langhammer & Stanghelle 2000; Kwakkel & Wagenaar 2002 (Ib)

### Local guidelines

Local guidelines need to specify:

- 1 the local options for routine, specialist or intensive treatments, including setting, the availability and flexibility of treatment packages, and how these services are accessed;
- 2 provision of training available for healthcare and related practitioners to enhance patients' rehabilitation.

---

*Carer's view: She was paralysed down the right side. But because she had part use of her left hand, the nursing staff said: 'Oh, you're alright, just drag yourself up with your left hand'. Well now, any therapist would tell you that is the worst thing that you can do.*

*Patient's view: I worry about this hand. I'm reasonably OK, as far as dressing and finger movement. I can't sign my name. I cannot sign a credit card or a chequebook, and this impairs me. (72 year old man)*

---

#### 4.4.2 Improving motor control: biofeedback

During therapy, patients are usually given feedback on their performance as part of the retraining therapy. One method of enhancing feedback is to utilise technology to detect activity and to give feedback. This is biofeedback, and it is usually but not always based on electromyography (EMG).

---

#### Recommendations

- a Biofeedback systems should not be used on a routine basis (A)
- 

#### Evidence (Table 4.4.2)

Biofeedback has usually been investigated as an adjunct to conventional therapy. It has been subject to several meta-analyses, with conflicting results.

- a Glanz *et al* 1995; Moreland *et al* 1998 (Ia)

#### 4.4.3 Improving motor control: functional electrical stimulation

Functional electrical stimulation (FES) is the use of direct electrical stimulation of muscle or peripheral nerves to cause movement. It has been proposed both as a means of improving muscle function (ie as treatment), and also as a way of replacing or augmenting weakened muscle function.

---

#### Recommendations

- a Functional electrical stimulation should not be used on a routine basis (A)
  - b Individual patients should be considered for FES as an orthosis in certain circumstances, such as improving arm movement, ankle dorsiflexion and gait performance (A)
- 

#### Evidence (Table 4.4.3)

- a Glanz *et al* 1996; Ada & Foongchomcheay 2002 (Ia); De Kroon *et al* 2002 (Ia)
- b Burridge *et al* 1997; Popovic *et al* 2002 (Ib)

### **Local guidelines**

When considering the use of FES as an orthosis, local teams may wish to specify:

- 1 which patients are considered suitable;
- 2 how its benefit is to be judged for any patient trying it.

#### **4.4.4 Improving motor control: treadmill training**

Walking on a treadmill, with some body weight supported via a harness connected to an overhead support system, can be used to train walking. The evidence on its benefit compared to other modes of therapy in this area, however, is still inconclusive.

---

### **Recommendations**

- a Treadmill training (with partial body weight support) should not be used on a routine basis (A)
- b For patients who can walk independently, treadmill training with partial body weight support (<40%) between 30 days to three months post stroke should be considered as an adjunct to conventional therapy (B)

---

### **Evidence (Table 4.4.4)**

- a Moseley *et al* 2004
- b Extrapolation from Moseley *et al* 2004; Cochrane review found treadmill training among people who could walk independently, with body weight support, appeared to be more effective than other interventions at improving walking speed, but this conclusion was not robust (Ia). Visintin *et al* 1998 (a reasonably large well designed study); Sullivan *et al* 2002; Werner *et al* 2002a, 2002b (Ib)

### **Local guidelines**

Standard treadmills are of limited value since they are unable to provide partial bodyweight support. Treadmill training has a major implication in capital cost. Local guidelines will need to consider:

- 1 use of treadmill with support, once available;
- 2 other therapeutic approaches to be used.

#### **4.4.5 Improving motor control: strength and aerobic training**

It has been customary for therapists to avoid resisted exercise, believing that excess effort would reinforce abnormal tone and stereotypical movement patterns. There is growing

evidence to suggest that resisted exercise actually improves muscle strength though the functional benefit is uncertain.

---

### Recommendations

- a Resisted exercise should be considered to improve muscle strength in targeted muscles (A)
  - b Patients should participate in cardiovascular training (aerobic activity) (A)
- 

### Evidence (Table 4.4.5 )

- a Butefisch *et al* 1995; Engardt *et al* 1995; Miller & Light 1997; Sharp & Brouwer 1997; Brown & Kautz 1998; Smith *et al* 1999; Teixeira-Salmela *et al* 1999
- b Meek *et al* 2003; Saunders *et al* 2003 (Ia) show the evidence to date is inadequate to support the use of one method over any other (Ia)

#### 4.4.6 Improving motor control: robot-assisted therapy

Robot-assisted therapy is provided by a robot manipulator, which applies forces to the affected arm (which is supported by a moveable arm support) during movement. The robot enables patients of any level of impairment to repetitively practice movement, as the robot can operate from passive to active-rested modes. The robot can be programmed to give visual and auditory feedback during therapy.

---

### Recommendations

- a Robot-assisted movement therapy should be considered as an adjunct to conventional therapy in patients with deficits in arm function, who are at least 6 months post stroke (A)
- 

### Evidence (Table 4.4.6)

- a Volpe *et al* 2000; Lum *et al* 2002 (1b). The evidence is not strong and there may be major resource implications for this intervention

### Local guidelines

Local guidelines should cover:

- 1 the selection of patients for treatment;
- 2 resource issues.



#### 4.4.7 Improving motor control: orthotics (including splinting and casting)

Orthotics are comprised of ankle-foot orthoses, knee-ankle-foot orthoses, resting splints, lycra garments and serial splints or casts. Evidence is conflicting with regard to the use of orthotics following stroke as they appear to have both biomechanical and tone-reducing effects. Most studies are on the use of ankle-foot orthoses. Although the hemiplegic upper limb may develop contractures due to the presence of abnormal tone and paresis, splinting in hemiplegia is not well addressed in the literature. A Cochrane review on orthotics in stroke management is in progress at the time of this publication.

---

#### Recommendations

- a Ankle-foot orthoses should be:
  - i considered for people with foot drop to improve their walking ability (A)
  - ii individually fitted (B)
- b Serial casting should be considered to prevent or reverse contractures (B)
- c Serial casting (splinting) should be considered to reduce spasticity (B)
- d Inflatable air splints should not be used on a routine basis to maintain range (B)

---

#### Evidence (Table 4.4.7)

- a Leung & Moseley 2003 (Ia) This systematic review suggests that AFOs may lead to immediate improvements in gait parameters, but their effect on paretic muscle activity is inconclusive
- b Mortenson & Eng 2003 (Ia) This systematic review of 13 studies of adults with brain injury (mainly with traumatic brain injury) includes 2 RCTs and 3 cohort studies; the other trials are case series
- c Association of Chartered Physiotherapists Interested in Neurology (1998) Clinical practice guidelines on splinting adults with neurological dysfunction (IV). Extrapolation from Lannin *et al* 2003 (Ib); Rose & Shah 1987 (Ib). Evidence for hand splinting is conflicting, with Lannin *et al* 2003 finding no significant effect in a study with 28 patients; Rose & Shah 1987 report a positive increase in range in study of 30 patients
- d Poole & Whitney 1990 (III)

#### Local guidelines

Procedures will need to be in place for:

- 1 timing of the intervention;
- 2 consent;

- 3 provision of written information;
- 4 safety precautions.

#### 4.4.8 Management of spasticity

Spasticity is a motor disorder characterised by a velocity-dependent increase in tonic stretch reflexes. Spasticity may lead to secondary complications such as muscle and joint contractures. Antispastic drugs, developed for use in spinal cord disease, are widely promoted, and botulinum toxin (BTX) is now becoming available. Several studies have shown no measurable increase in muscle tone with resisted exercise.

---

#### Guidelines

- a After stroke, spasticity should be treated if it is causing problems, using physical treatments and possibly drugs (although the functional benefit is uncertain) (B)
  - b Spasticity should not limit the use of strength training (B)
  - c In patients with disabling or symptomatically distressing spasticity, injection of botulinum toxin should be considered in conjunction with physiotherapy for reducing tone and/or increasing the range of joint motion (A)
  - d Additional electrostimulation should be considered for increasing the effectiveness of botulinum toxin (A)
- 

#### Evidence (Tables 4.4.8a and b)

Despite being available for many years, there is remarkably little evidence on the benefits or risks of anti-spastic drugs. There is minimal evidence concerning physical treatments. In contrast to the situation with drugs or therapy there is much more evidence relating to botulinum toxin (not all specific to stroke). The evidence shows that it is expensive, but effective, and it can be targeted without significant side effects.

- a Ketel & Kolb 1984; Katrak *et al* 1992. There is reasonable evidence that drugs reduce spasticity, but there is no evidence to support routine use in the absence of specific problems directly attributable to spasticity (IIa)
- b Brown & Kautz 1998. A small trial supports a growing body of observational and physiological literature supporting this statement; Butefisch *et al* 1995; Ada *et al* 1998 (III)
- c Burbaud *et al* 1996; Simpson *et al* 1996 (Ib); Hesse *et al* 1996a (Ib); Royal College of Physicians 2002 (IV). There are several trials in stroke and other diseases supporting the functional benefits as well as the reduction in spasticity. There is insufficient evidence to guide selection of patients or to suggest that precise (EMG-guided) localisation is needed (Childers *et al* 1996) (Ib)
- d Hesse *et al* 1998b (a small trial, but supported by animal experiments) (Ib)

### **Local guidelines**

Local guidelines on the use of botulinum toxin (BTX) should be informed by the guidelines for the use of BTX in the management of spasticity in adults (Royal College of Physicians 2002) and should cover:

- 1 agreed criteria for the selection of patients for treatment;
- 2 agreement on which clinicians may use botulinum toxin.

#### **4.4.9 Constraint-induced movement therapy**

Constraint-induced movement therapy (CIT) involves restricting the use of the non-stroke upper limb while at the same time encouraging active use of the stroke-affected upper limb. The high intensity CIT delivered in research trials may be difficult to deliver in routine practice and has only been proven for the upper limb in certain well-defined situations.

---

### **Recommendations**

- a Constraint-induced therapy to increase the use of the affected arm should be considered in patients with at least 10 degrees of active wrist and finger extension, who are more than a year post stroke and who can walk independently without an aid (B)

---

### **Evidence** (Table 4.4.9)

- a Taub *et al* 1993; Van der Lee *et al* 1999; Kunkel *et al* 1999; Miltner *et al* 1999; Dromerick *et al* 2000; Sterr *et al* 2002 (IIb)

## **4.5 Sensory impairment and pain**

### **4.5.1 Sensory disturbance: controlling pain after stroke**

Patients who have suffered a stroke may experience pain of several types. Most of the pain is mechanical, arising from reduced mobility; some will come from pre-morbid diseases such as osteoarthritis; and a minority will be specific to stroke damage (central post-stroke pain). Pain is often not recognised and poorly treated, however the evidence on which to base treatment is minimal and rarely specific to stroke.

---

### **Recommendations**

- a All patients with stroke should be asked whether pain is a significant problem or a contributing factor to their current clinical state on a regular visit (D)
- b All pain suffered by a person with stroke should be subject to a full clinical diagnosis, including a referral to an appropriate specialist service if needed (D)

- 
- c People with stroke who have musculoskeletal pain should be:
    - i assessed by specialist therapists for potential alleviation through exercise, passive movement, better seating or other procedures (D)
    - ii prescribed appropriate analgesics where non-pharmacological treatments are ineffective (D)
  - d Central post-stroke pain
    - i Neuropathic pain may respond to tricyclic antidepressants (eg amitriptyline) or anticonvulsants (eg gabapentin) (A)
    - ii Patients with intractable pain should be referred to a specialist pain service as soon as possible (D)
- 

#### **Evidence** (Table 4.5.1a and b)

There are some meta-analyses relating to pain management in general (see Cochrane reviews), and some specifically relating to central neurogenic pain. None is specific and exclusive to stroke.

- a Consensus of working party (IV)
- b Consensus of working party (IV)
- c Consensus of working party (IV)
- d i) Leijon & Boivie 1989; McQuay *et al* 1996; Fishbain 2000; Mellegers *et al* 2001; Wiffen *et al* 2004; Carroll *et al* 2003 (Ia); ii) Consensus of working party (IV)

#### **Local guidelines**

Local guidelines need to:

- 1 emphasise the importance of identifying and treating pain;
- 2 give details about local specialists in pain relief.

### **4.5.2 Shoulder pain**

Pain in the shoulder of the affected arm is not uncommon, arising in at least 30% of all patients after stroke. It is associated with severity of disability, and is thus more common in patients in rehabilitation settings. It is not related to subluxation of the shoulder. Its relationship to handling and positioning remains uncertain. Studies have shown no benefit for shoulder strapping or intra-articular injections of triamcinolone.

---

### Recommendations

- a The following interventions to prevent shoulder pain should be considered:
    - i avoiding the use of overhead arm slings, which encourage uncontrolled abduction (A)
    - ii use of foam supports (A)
    - iii education of staff and carers about correct handling of the hemiplegic arm (B)
    - iv correct positioning (B)
  - b For established shoulder pain, treatment should:
    - i start with simple interventions, eg non-steroidal anti-inflammatory analgesia (C)
    - ii If this does not work, treatment should include high-intensity transcutaneous electrical nerve stimulation (A)
- 

### Evidence (Table 4.5.2)

Most studies focus on subluxation of the shoulder, but this has been shown not to be a factor in shoulder pain. Shoulder pain after stroke is strongly associated with prolonged hospital stay and poor recovery of arm function. Incorrect handling is a contributing factor in development and/or exacerbation of shoulder pain. This relationship requires further study.

- a i) Kumar *et al* 1990 (a small study, and only studying one movement) (Ib); ii) Kotzki *et al* 1991 (a small study only) (Ib); iii) Braus *et al* 1994; Wanklyn *et al* 1996 (III); iv) Dean *et al* 2000b (1b)
- a i) Leandri *et al* 1990 (a large study with reasonable effects shown) (Ib); ii) Price & Pandyan 1999 (Ia). Meta-analysis showed benefits for passive humeral lateral rotation

### Local guidelines

Local clinicians may wish to:

- 1 develop guidelines for ward staff on care of the shoulder;
- 2 agree how to manage pain once present.

### 4.5.3 Sensory stimulation: acupuncture and transcutaneous electrical nerve stimulation

Transcutaneous electrical nerve stimulation (TENS) and acupuncture are both possible ways of giving sensory input, and are placed together for that reason. Their use is still being investigated; the mechanisms 'underlying benefits' (if any) are unknown.

---

### Recommendations

- a Acupuncture should only be used in the context of ongoing trials (D)
  - b Routine TENS for improving muscle control should only be used in the context of ongoing trials (A)
- 

### Evidence (Table 4.5.3)

The evidence is relatively limited, and may be subject to publication bias.

- a Sze *et al* 2002. (Ia) Quality of trials included in systematic review of insufficient quality to make definitive statement
- b Tekeoolu *et al* 1998. The evidence is mixed, with some trials showing benefit and others no difference, so it is difficult to generalise from these. However, it may have a role in specific circumstances (Ib)

## 4.6 Drugs reducing impairment

There are many drugs that may help without directly affecting the underlying pathology. Some have already been considered (analgesia, antispastic agents etc), but there are some that do not easily fit into groups mentioned.

---

### Recommendations

- a The following drugs, intended to reduce impairments directly, should not be prescribed except within the context of randomised trials (A):
    - i amphetamine
    - ii piracetam
    - iii fluoxetine (may be used to treat depression)
    - iv meprobamate
    - v bromocriptine
- 

### Evidence (Table 4.6)

- a Table 4.6 shows the main randomised studies of note. Only fluoxetine and amphetamine seem consistently to be associated with benefit, but studies are too small to allow firm conclusions (Ib)

## 4.7 Functional rehabilitation interventions

See also section 5.1

This section encompasses guidelines and evidence from therapies which are designed to help patients adapt to their impairments, so that they may participate as fully as possible in their chosen daily activities of life. Adaptive therapies include the teaching of new skills, the provision of information, the use of problem-solving aids or appliances and environmental modification. The topics covered range from a relatively restricted area such as self-care to environmental changes.

### 4.7.1 Activities of daily living

Much of stroke rehabilitation aims, directly or indirectly, to increase independence and ability in all activities of daily living (ADL), not only personal (eg dressing) but also domestic (eg cooking) and communal (eg shopping). Many of the techniques described earlier in this section might help in this task. Furthermore it has been convincingly shown that organised rehabilitation directly improves ADL. However, there is little research on direct treatment techniques.

---

#### Recommendations

- a All patients with difficulties in ADL should be assessed by an occupational therapist with specialist knowledge in neurological rehabilitation (A)
- b All patients should be assessed by an occupational therapist within four working days of referral (C)
- c Patients showing unexplained persistent difficulties in ADL should be assessed specifically for perceptual impairments (B)
- d Patients with difficulties in ADL should be treated by a specialist multidisciplinary team that includes an occupational therapist (A)
- e All patients must be given opportunities to practise personal ADL and, as appropriate, relevant domestic and community activities (D)
- f Patients should be offered advice on, and treatment aimed to achieve, employment or wanted leisure activities as appropriate (D)

---

#### Evidence (Table 4.7.1)

- a Walker *et al* 1999; Gilbertson *et al* 2000 (Ib)
- b National Association of Neurological Occupational Therapists (NANOT) standards 2002
- c Lincoln *et al* 1997 (IIb)

- d Stroke Unit Trialists' Collaboration 2004; Walker *et al* 1999 (Ia)
- e Consensus of working party (IV)
- f Consensus of working party (IV)

### Local guidelines

Local guidelines will need to specify:

- 1 the availability of, and means of access to, therapeutic areas such as kitchens;
- 2 how to undertake and achieve expert advice and treatment to return to employment.

---

*Patient's view: Letting me do things on my own, which is wonderful really. Even buttering bread – they see I was having difficulty but they were just standing there, 'Go on Bill!' (73 year old man)*

*Patient's view: The only thing that worries me is that I mustn't let my husband do everything for me. Yes, but he knows. I've already prepared him that he has to let me, doesn't matter if it takes me all day. I have to do it. He understands that. (68 year old woman)*

---

## 4.7.2 Equipment and adaptations (personal aids)

Small timely changes in an individual's local 'environment' can greatly increase independence, for example the use of a wheelchair, walking stick or adapted cutlery. Many of these 'treatments' are so simple and small that it is unlikely that anyone will ever research into them. This section covers small items for personal use.

### Recommendations

- a The need for special equipment should be assessed on an individual basis; once provided the value and need for equipment should be evaluated on a regular basis (B)
  - b Patients should be supplied as soon as possible with all aids, adaptations and equipment they need (A)
- 

### Evidence (Tables 4.7.2)

- a Gladman *et al* 1995; Mann *et al* 1995; Logan *et al* 1997 (IIa)
- b Huck & Bonhotal 1997; Mann *et al* 1999 demonstrated cost effectiveness of equipment provision for elderly patients (not just stroke) (Ib)



### **Local guidelines**

Local clinicians will need to:

- 1 formulate local policies concerning assessment for equipment, and its supply and retrieval;
- 2 agree funding arrangements and budgets with all funding organisations (eg social services);
- 3 ensure that orthoses are made or fitted correctly for the individual patient.

---

*Patient's view: What I'd really like, I'd like one of them reclining chairs .... that you can lie back and at night when I can't sleep in my bed, cos I can't sleep on my back. I've got chronic bronchitis, I can't sleep on the other side with my shoulder and it's very awkward. I've not had, I've never had a night's sleep since I came out of hospital. (65 year old man)*

---

### **4.7.3 Equipment and adaptations (environment)**

Equipment and adaptations in this context refers to any larger items or structural changes needed to alleviate the impact of a stroke-related impairment. Many patients have residual disability that can be helped by adapting their environment on a larger scale, for example with stairlifts, hoists, perching stools or adaptations to buildings.

---

#### **Recommendations**

- a Every patient who is at home or leaving hospital should be assessed fully to determine whether equipment or adaptations can increase safety or independence (A)
  - b Prescription of equipment and adaptations should be based on careful assessment of the patient and the physical and social environment in which it is to be used (B)
  - c All equipment supplied should have proven reliability and safety (C)
  - d The patient and/or caregiver should be thoroughly trained in the safe and effective use of any equipment supplied (D)
  - e The suitability and use of equipment should be reviewed over time as needs will change (B)
  - f All patients should be given a contact number for future advice or help with equipment provided (D)
- 

#### **Evidence (Tables 4.7.3)**

The evidence is largely secondary, derived from observations in RCTs or from surveys.

- a Chamberlain *et al* 1981; Hesse *et al* 1996b; Mann *et al* 1999; Logan *et al* 1997; Audit Commission Report 'Fully equipped' 2000, and 2002 (Ib)

- b Gitlin *et al* 1993; Neville-Jan *et al* 1993 (III)
- c Gardner *et al* 1993 (IV)
- d Consensus of working party (IV)
- e Bynum & Rogers 1987; Sonn *et al* 1996 (III)
- f Consensus of working party (IV)

### **Local guidelines**

Local guidelines will need to specify:

- 1 how equipment is accessed locally;
- 2 local eligibility criteria for each piece of equipment;
- 3 how it is funded locally.

---

*Patient's view: I couldn't get in and out [of my house]... I could hardly get up my drive... I waited one full year before I could have the rail from the front door down to the gate. It took us a year to get that, and a lot of trouble for the wife.*

*Carer's view: We got a lot of help when he came out, more than I would have thought, but I think we're probably quite lucky living in this area because the help is there. It just appeared, all this help – I never asked for anything.*

*Patient's view: Financial, financially, you know. Financially, it's come down, down, down. (61 year old man)*

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## 5 Transfer to community

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The majority of patients will be managed in hospital initially. The time of transfer from inpatient hospital care to home (or residential or nursing home care) constitutes an important watershed. There is some research-based evidence that this is often poorly managed.

### 5.1 Discharge planning

The process of transferring responsibility for management from a specialised inpatient service, where co-ordination is relatively easy, to an outpatient or domiciliary service or to nursing homes and residential care homes, requires considerable planning. Although this is recognised in several Department of Health circulars, insufficient attention and resources are given to the process. Discharge planning refers to any process that formally involves the team or service in transferring responsibility from one group of people or team to another.

---

#### *Recommendations*

- a Hospital services should have a protocol and local guidelines (A) to ensure that, before discharge occurs:
  - i patients and families are prepared and fully involved in plans for transfer (D)
  - ii general practitioners, primary healthcare teams and community social services departments are all informed (D)
  - iii all necessary equipment and support services are in place (D)
  - iv any continuing treatment required should be provided without delay by a specialist service in the community, a day hospital or outpatients (A)
  - v patients are given information about, and offered contact with, appropriate local statutory and voluntary agencies (D)
- b Early hospital discharge (before the end of acute rehabilitation) should only be undertaken if there is a specialist stroke rehabilitation team in the community and if the patient is able to transfer safely from bed to chair (A)
- c Early hospital discharge to generic (non-specialist) community services should not be undertaken (A)

- d Carers should receive all necessary equipment and training in moving and handling, in order to position and transfer the patient safely in the home environment (B)
  - e Patients should continue to have access to specialist stroke care and rehabilitation after leaving hospital (A)
- 

**Evidence** (Table 5.1)

- a Evans & Hendricks 1993; Naylor *et al* 1994 (Ib); i-iii v) Consensus of working party (IV); iv) Outpatient Service Trialists 2004 (Ib)
- b Early Supported Discharge Trialists 2004 (Ia)
- c Ronning & Guldvog 1998b; Indredavik 2000 (Ib)
- d Hakim & Bakheit 1998; Audit Commission 2002 (III)
- e Outpatient Service Trialists 2004 (Ia)

**Local guidelines**

Local guidelines are essential, and must ensure that a smooth transfer of responsibility for each and every aspect of management occurs. They will need to cover:

- 1 discharge protocols and documentation;
- 2 contacting all necessary statutory organisations (who, how and when);
- 3 action to take if delays occur in setting up community services;
- 4 mechanisms to monitor process of handover;
- 5 names of, and methods for contacting, all relevant local voluntary agencies.

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*Patient's view:* And when I got home there was just nothing. I was quite desperate at the time.

*Carer's view:* There should be somebody who actually talks to the carer and tells them about all the different facilities, you know – everyone should have somebody assigned to them

---

## 5.2 Long-term management

This section covers matters that relate to the long term, after the period of 'active rehabilitation' and applies to patients wherever they are being cared for, including those living in care homes. In most patients this phase starts soon after transfer from hospital, though for some it will not start until a programme of outpatient or domiciliary rehabilitation has been completed. This usually refers to any time after three to six months post-stroke.

The areas covered in this section include:

- ▶ episodes of further rehabilitation after transfer to community (5.2.1)
- ▶ secondary prevention (5.2.2)
- ▶ social function (5.2.3).

### 5.2.1 Further rehabilitation

Many patients request continuing involvement of therapy services after discharge from formal rehabilitation. Usually this is rejected on the grounds that no further benefit can accrue. However, there is now evidence that, after stroke, patients show a continuing decline, which can be reversed by further rehabilitation input, and may also prevent hospital readmission. Rehabilitation after stroke must also address 'participation'. This may require planned withdrawal of medical and rehabilitation services and substituting them with leisure and social activity to encourage independence and reintegration to normal life.

---

#### Recommendations

- a Any patient with reduced activity at six months or later after stroke should be assessed for a period of further targeted rehabilitation (A). (Refer back to 4.3e for longer term speech and language therapy)
  - b Independence should be encouraged. As patients become more active, consideration should be given to withdrawal of physical and psychological support, enteral tubes, cessation of therapy and withdrawal of personal care support (D)
- 

#### Evidence (Table 5.2.1)

The evidence is strong and comes from controlled clinical trials investigating change in 'stable' patients, and in RCTs that focus on particular outcomes.

- a Outpatient Service Trialists 2004 (Ia); Wade *et al* 1992; Drummond & Walker 1995; Walker *et al* 1996; Werner & Kessler 1996; Corr & Bayer 1995 (Ib)
- b Consensus of working party (IV)

#### Local guidelines

Local guidelines will need to specify:

- 1 mechanisms for monitoring and re-referral to specialist service(s);
- 2 responsibility (health or social services) for treatments and other interventions to maintain function;
- 3 mechanisms to co-ordinate health and social services provision.

---

*Carer's view: I don't think we've had any. Is it my fault, am I supposed to go and say: 'Come and help us, we need something'? Or is this something that should be provided automatically?*

*Carer's view: I think this is one of the problems. There's no co-operation between the various agencies, so you stand the chance of coming out and having nothing. No-one to talk to or anything.*

*Carer's view: We need some help for my husband as well. If he could get more therapy. His leg is getting weaker and he can't go upstairs. So if it is getting worse and worse... after two years... what's the use of that?*

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### 5.2.2 Prevention of further stroke

After a first stroke the risk of recurrence is high in the first year, and remains higher than for the general population for the rest of the individual's life. Specific measures for secondary prevention are covered in section 3.5.

---

#### Recommendations

- a All patients will require regular review and appropriate treatment of risk factors for vascular disease lifelong after stroke (B)
  - b All GPs should keep a register of stroke patients and conduct regular audit of secondary prevention (C)
- 

#### Evidence (Tables 3.5.1–3.5.7)

- i Extrapolation from sections on secondary prevention (3.5.1 – 3.5.7)
- ii National Service Framework Older People (Department of Health 2001); General Medical Services contract (Department of Health 2004)

### 5.2.3 Social function

After discharge the patient and family lose the social, emotional and practical support offered by an inpatient service, so support may need to be offered specifically as an additional resource (see also section 2.3).

---

#### Recommendations

- a Patients and their carers should have their individual psychosocial and support needs reviewed on a regular basis (A)
- b Health and social services professionals should ensure that patients and their families have information about the statutory and voluntary organisations offering services specific to these needs (D)
- c Patients who used to drive before their stroke must be given accurate, up-to-date advice on their responsibilities (D)

- 
- d Patients who wish to drive should be assessed for:
    - i any absolute contraindications
    - ii their cognitive ability to drive safely
    - iii their motor ability to control a car
    - iv their need for any adaptations (D)
- 

### **Evidence** (Table 5.2.3)

Whilst the only benefit shown is for improved patient and carer satisfaction where support services are provided, the consensus of working party opinion is that the correct strategies are yet to be found.

- a Anderson 1992 and Pound *et al* 1998 (III) show the long-term needs of patients and their carers. Evans *et al* 1988 (Ia) showed benefits of counselling and education on problem solving and adjustment
- b The College of Health Report showed the need for additional support once patients get home (Kelson *et al* 1998) (IV)
- c [www.dvla.gov.uk/](http://www.dvla.gov.uk/) (IV)
- d Extrapolation from Nouri & Lincoln 1993 (IV)

### **Local guidelines**

Local health and social commissioners will have to agree:

- 1 policies on providing financial support to voluntary organisations giving longer-term support to disabled patients;
- 2 how services are accessed, and by whom.

Stroke services will need to:

- 3 have up-to-date information on the medical requirements of the DVLA ([www.dvla.gov.uk/drivers/dmed1.htm](http://www.dvla.gov.uk/drivers/dmed1.htm));
- 4 agree protocols on assessing patients for driving safety;
- 5 collate and provide information on local resources able to assess driving and advise on adaptations.

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**Patient's view of Stroke Association stroke clubs:** *The best time I had after my stroke really is the stroke club that I went to. And I am very glad [to have the opportunity to] really to thank them specially. The most support I got is from the stroke club.*

**Patient's view on Stroke Association volunteers visiting them at home:** *They do a marvellous job. People from Stroke, yes they come to my house to see me. Marvellous they come.*

**Carer's view of Different Strokes:** *The main thing is that Different Strokes is so much more personal and also that it caters for younger stroke survivors.*

**Carer's view of Different Strokes exercise meetings:** *He loves it, he comes alive... They're all the same and they know what each other has gone through. He enjoys the exercise and they're such a friendly bunch. There's no pressure. It's wonderful. We come every week.*

**Carer's view:** *In the borough still there is a respite care package. You say, look I need a week away from it all – [he] either goes into respite care and lives there residential for a week or a fortnight or a month or whatever. But that is done through social services. And you have to press them a bit you know.*

**Carer's view:** *[You need] a sort of a named person who can link all the different agencies... [and] somebody you can contact if you need help.*

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# Appendices

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## Appendix I

# Peer reviewers

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### **Clinical psychology**

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## Appendix 2

# Recommended indicators for audit of stroke

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### The 'top ten' process indicators of stroke from the National Sentinel Audit of Stroke

Since 1998 the Royal College of Physicians has conducted a national audit of stroke care in England, Wales and Northern Ireland every two years. How the standards were derived, and the audit developed, are described in the Royal College of Physicians' *Stroke audit package* (Intercollegiate Stroke Working Party 2002). Statistical testing following several rounds of the audit has shown the indicators below (when used all together) are a useful summary of the overall quality of stroke care.

- A. The proportion of patients spending more than 50% of stay on a stroke unit.
- B. Process standards.
  - 1. Screening for swallowing disorders within the first 24 hours of stroke.
  - 2. Brain scan within 24 hours of stroke.\*
  - 3. Patient commenced aspirin by 48 hours after stroke.
  - 4. Physiotherapy assessment within first 72 hours.
  - 5. Assessment by an occupational therapist within seven days.
  - 6. Patient was weighed at least once during admission.
  - 7. Patient's mood was assessed during admission.
  - 8. The patient was on antithrombotic therapy by the time of discharge.
  - 9. Rehabilitation goals agreed by the multidisciplinary team.
  - 10. A home visit is performed before discharge for applicable patients.

### Primary care – summary indicators for stroke from GP Contract

The *General Medical Services (GMS) contract* (Department of Health 2003) for general practitioners requires monitoring of the following indicators for the management and prevention of stroke and TIA in primary care.

- 1. The practice can produce a register of patients with stroke and TIA.
- 2. The percentage of new patients with presumptive stroke (presenting after 01/04/03) who have been referred for confirmation of the diagnosis by CT or MRI scan.
- 3. The percentage of patients with TIA or stroke who have a record of smoking status in the last 15 months, except those who have never smoked where smoking status should be recorded at least once since diagnosis.
- 4. The percentage of patients with a history of TIA or stroke who smoke and whose notes contain a record that smoking cessation advice has been offered in the last 15 months.
- 5. The percentage of patients with TIA or stroke who have a record of blood pressure in the notes in the preceding 15 months.

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\*This was originally 48 hours, but has been changed to 24 for these guidelines.

6. The percentage of patients with a history of TIA or stroke in whom the last blood pressure reading (measured in the last 15 months) is 150/90 or less.
7. The percentage of patients with TIA or stroke who have a record of total cholesterol in the last 15 months.
8. The percentage of patients with TIA or stroke whose last measured total cholesterol (measured in the last 15 months) is 5 mmol/l or less.
9. The percentage of patients with a stroke shown to be non-haemorrhagic, or a history of TIA, who have a record that aspirin, an alternative antiplatelet therapy, or an anticoagulant is being taken (unless a contraindication or side effects are recorded).
10. The percentage of patients with TIA or stroke who have had influenza immunisation in the preceding 1 September to 31 March.

## Appendix 3

# Useful addresses

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### **Safe Implementation of Thrombolysis in Stroke (SITS-MOST)**

#### *National co-ordinators:*

Professor Gary Ford,  
Wolfson Unit of Clinical  
Pharmacology,  
Claremont Place  
University of Newcastle upon  
Tyne,  
Newcastle upon Tyne NE2 4HH.  
E-mail: [g.a.ford@ncl.ac.uk](mailto:g.a.ford@ncl.ac.uk)

Professor Kennedy Lees,  
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Medicine and Therapeutics,  
Gardiner Institute,  
Western Infirmary,  
Glasgow G11 6NT.  
E-mail:  
[kir.lees@clinmed.gla.ac.uk](mailto:kir.lees@clinmed.gla.ac.uk)

Website for information:  
[www.acutestroke.org](http://www.acutestroke.org)

### **National charities for the support of patients**

Stroke Association,  
Stroke House,  
240 City Road,  
London EC1V 2PR.  
Tel: 020 7566 0305  
Stroke helpline: 0845 30 33 100  
Website: [www.stroke.org.uk](http://www.stroke.org.uk)

Northern Ireland Chest, Heart  
& Stroke Association,  
22 Great Victoria Street,  
Belfast BT2 7LX.  
Tel: 02890 320 184.  
Fax: 02890 333 487.  
Helpline: 08457 697 299.  
Website: [www.nichsa.com](http://www.nichsa.com)

Chest, Heart and Stroke  
Association, Scotland,  
65 North Castle Street,  
Edinburgh EH2 3LT.  
Tel: 0131 225 6963.  
Fax: 0131 220 6313.  
Advice lines: 0845 077 6000.  
Website: [www.chss.org.uk](http://www.chss.org.uk)

Different Strokes,  
9 Canon Harnett Court,  
Wolverton Mill,  
Milton Keynes MK12 5NF.  
Tel: 0845 130 7172.  
Fax: 01908 313 501.  
Website:  
[www.differentstrokes.co.uk](http://www.differentstrokes.co.uk)

Speakability,  
Canterbury House,  
1 Royal Street,  
London SE1 7LL.  
Tel: 020 7261 9572 (admin).  
Fax: 020 7928 9542.  
Helpline: 080 8808 9572.  
Website:  
[www.speakability.org.uk](http://www.speakability.org.uk)

### **Specialist advice for professionals and the public**

Continence Foundation,  
307 Hatton Square,  
16 Baldwins Gardens,  
London EC1N 7RJ.  
Tel: 020 7404 6875.  
Fax: 020 7404 6876.  
Helpline: 0845 345 0165.  
Website: [www.continence-foundation.org.uk](http://www.continence-foundation.org.uk)

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